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### Recent advances in deep learning for object detection

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### ABSTRACT

Object detection is a fundamental visual recognition problem in computer vision and has been widely studied in the past decades. Visual object detection aims to find objects of certain target classes with precise localization in a given image and assign each object instance a corresponding class label. Due to the tremendous successes of deep learning based image classification, object detection techniques using deep learning have been actively studied in recent years. In this paper, we give a comprehensive survey of recent advances in visual object detection with deep learning. By reviewing a large body of recent related work in literature, we systematically analyze the existing object detection frameworks and organize the survey into three major parts: (i) detection components, (ii) learning strategies, and (iii) applications & benchmarks. In the survey, we cover a variety of factors affecting the detection performance in detail, such as detector architectures, feature learning, proposal generation, sampling strategies, etc. Finally, we discuss several future directions to facilitate and spur future research for visual object detection with deep learning.

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#### 1. Introduction 1

2 In the field of computer vision, there are several fundamental visual recognition problems: image classification [1], object de-3 tection and instance segmentation [2,3], and semantic segmenta-4 5 tion [4] (see Fig. 1). In particular, image classification (Fig 1.1(a)), 6 aims to recognize semantic categories of objects in a given image. Object detection not only recognizes object categories, but also 7 predicts the location of each object by a bounding box (Fig. 1(b)). 8 Semantic segmentation (Fig. 1(c)) aims to predict pixel-wise clas-9 10 sifiers to assign a specific category label to each pixel, thus providing an even richer understanding of an image. However, in 11 12 contrast to object detection, semantic segmentation does not distinguish between multiple objects of the same category. A rela-13 tively new setting at the intersection of object detection and se-14 15 mantic segmentation, named "instance segmentation" (Fig. 1(d)), is proposed to identify different objects and assign each of them 16 a separate categorical pixel-level mask. In fact, instance segmenta-17 18 tion can be viewed as a special setting of object detection, where 19 instead of localizing an object by a bounding box, pixel-level lo-20 calization is desired. In this survey, we direct our attention to re-21 view the major efforts in deep learning based object detection. A

https://doi.org/10.1016/j.neucom.2020.01.085 0925-2312/© 2020 Published by Elsevier B.V. good detection algorithm should have a strong understanding of 22 semantic cues as well as the spatial information about the image. In fact, object detection is the basic step towards many computer vision applications, such as face recognition [5-7], pedestrian detection [8-10], video analysis [11,12], and logo detection [13-15].

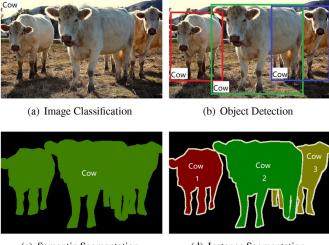
In the early stages, before the deep learning era, the pipeline 27 of object detection was divided into three steps: (i) proposal gen-28 eration; (ii) feature vector extraction; and (iii) region classifica-29 tion. During proposal generation, the objective was to search lo-30 cations in the image which may contain objects. These locations 31 are also called regions of interest (roi). An intuitive idea is to scan 32 the whole image with sliding windows [16-20]. In order to cap-33 ture information about multi-scale and different aspect ratios of 34 objects, input images were resized into different scales and multi-35 scale windows were used to slide through these images. During 36 the second step, on each location of the image, a fixed-length fea-37 ture vector was obtained from the sliding window, to capture dis-38 criminative semantic information of the region covered. This fea-39 ture vector was commonly encoded by low-level visual descriptors 40 such as SIFT (Scale Invariant Feature Transform) [21], Haar [22], 41 HOG (Histogram of Gradients) [19] or SURF (Speeded Up Robust 42 Features) [23], which showed a certain robustness to scale, illumi-43 nation and rotation variance. Finally, in the third step, the region 44 classifiers were learned to assign categorical labels to the covered 45 regions. Commonly, support vector machines (SVM) [24] were used 46 here due to their good performance on small scale training data. 47 In addition, some classification techniques such as bagging [25], 48

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(c) Semantic Segmentation

(d) Instance Segmentation

Fig. 1. Comparison of different visual recognition tasks in computer vision. (a) "Image Classification" only needs to assign categorical class labels to the image; (b) "Object detection" not only predict categorical labels but also localize each object instance via bounding boxes; (c) "Semantic segmentation" aims to predict categorical labels for each pixel, without differentiating object instances; (d) "Instance segmentation", a special setting of object detection, differentiates different object instances by pixel-level segmentation masks.

cascade learning [20] and adaboost [26] were used in region clas-49 sification step, leading to further improvements in detection accu-50 51 racy.

Most of the successful traditional methods for object detec-52 53 tion focused on carefully designing feature descriptors to obtain embedding for a region of interest. With the help of good fea-54 55 ture representations as well as robust region classifiers, impressive results [27,28] were achieved on Pascal VOC dataset [29] (a 56 57 publicly available dataset used for benchmarking object detection). 58 Notably, deformable part based machines (DPMs) [30], a break-59 through detection algorithm, were 3-time winners on VOC chal-60 lenges in 2007, 2008 and 2009. DPMs learn and integrate multiple part models with a deformable loss and mine hard negative exam-61 ples with a latent SVM for discriminative training. However, during 62 2008 to 2012, the progress on Pascal VOC based on these tradi-63 tional methods had become incremental, with minor gains from 64 building complicated ensemble systems. This showed the limita-65 tions of these traditional detectors. Most prominently, these lim-66 itations included: (i) during proposal generation, a huge number 67 of proposals were generated, and many of them were redundant; 68 69 this resulted in a large number of false positives during classifica-70 tion. Moreover, window scales were designed manually and heuristically, and could not match the objects well; (ii) feature descrip-71 tors were hand-crafted based on low level visual cues [23,31,32], 72 73 which made it difficult to capture representative semantic informa-74 tion in complex contexts. (iii) each step of the detection pipeline was designed and optimized separately, and thus could not obtain 75 a global optimal solution for the whole system. 76

77 After the success of applying deep convolutional neural net-78 works (DCNN) for image classification [1,33], object detection 79 also achieved remarkable progress based on deep learning techniques [2,34]. The new deep learning based algorithms outper-80 81 formed the traditional detection algorithms by huge margins. Deep convolutional neural network is a biologically-inspired structure 82 for computing hierarchical features. An early attempt to build 83 such a hierarchical and spatial-invariant model for image classi-84 fication was "neocognitron" [35] proposed by Fukushima. How-85 ever, this early attempt lacked effective optimization techniques for 86 87 supervised learning. Based on this model, Lecun et al. [36] optimized a convolutional neural network by stochastic gradient de-88 scent (SGD) via back-propagation and showed competitive perfor-89 mance on digit recognition. After that, however, deep convolutional 90 neural networks were not heavily explored, with support vector 91 machines becoming more prominent. This was because deep learn-92 ing had some limitations: (i) lack of large scale annotated training 93 data, which caused overfitting; (ii) limited computation resources; 94 and (iii) weak theoretical support compared to SVMs. In 2009, Jia 95 et al. [37] collected a large scale annotated image dataset ImageNet 96 which contained 1.2M high resolution images, making it possible 97 to train deep models with large scale training data. With the de-98 velopment of computing resources on parallel computing systems 99 (such as GPU clusters), in 2012 Krizhevsky et al. [33] trained a 100 large deep convolutional model with ImageNet dataset and showed 101 significant improvement on Large Scale Visual Recognition Chal-102 lenge (ILSVRC) compared to all other approaches. After the success 103 of applying DCNN for classification, deep learning techniques were 104 quickly adapted to other vision tasks and showed promising results 105 compared to the traditional methods. 106

In contrast to hand-crafted descriptors used in traditional de-107 tectors, deep convolutional neural networks generate hierarchical 108 feature representations from raw pixels to high level semantic in-109 formation, which is learned automatically from the training data 110 and shows more discriminative expression capability in complex 111 contexts. Furthermore, benefiting from the powerful learning ca-112 pacity, a deep convolutional neural network can obtain a better 113 feature representation with a larger dataset, while the learning ca-114 pacity of traditional visual descriptors are fixed, and can not im-115 prove when more data becomes available. These properties made it 116 possible to design object detection algorithms based on deep con-117 volutional neural networks which could be optimized in an end-to-118 end manner, with more powerful feature representation capability. 119

Currently, deep learning based object detection frameworks 120 can be primarily divided into two families: (i) two-stage de-121 tectors, such as Region-based CNN (R-CNN) [2] and its variants 122 [34,38,39] and (ii) one-stage detectors, such as YOLO [40] and its 123 variants [41,42]. Two-stage detectors first use a proposal genera-124 tor to generate a sparse set of proposals and extract features from 125 each proposal, followed by region classifiers which predict the cat-126 egory of the proposed region. One-stage detectors directly make 127 categorical prediction of objects on each location of the feature 128 maps without the cascaded region classification step. Two-stage 129 detectors commonly achieve better detection performance and re-130 port state-of-the-art results on public benchmarks, while one-stage 131 detectors are significantly more time-efficient and have greater ap-132 plicability to real-time object detection. Fig. 2 also illustrates the 133 major developments and milestones of deep learning based object 134 detection techniques after 2012. We will cover basic ideas of these 135 key techniques and analyze them in a systematic manner in the 136 survey. 137

The goal of this survey is to present a comprehensive under-138 standing of deep learning based object detection algorithms. Fig. 3 139 shows a taxonomy of key methodologies to be covered in this sur-140 vey. We review various contributions in deep learning based ob-141 ject detection and categorize them into three groups: detection 142 components, learning strategies, and applications & benchmarks. 143 For detection components, we first introduce two detection set-144 tings: bounding box level (bbox-level) and pixel mask level (mask-145 level) localization. Bbox-level algorithms require to localize objects 146 by rectangle bounding boxes, while more precise pixel-wise masks 147 are required to segment objects in mask-level algorithms. Next, we 148 summarize the representative frameworks of two detection fami-149 lies: two-stage detection and one-stage detection. Then we give a 150 detailed survey of each detection component, including backbone 151 architecture, proposal generation and feature learning. For learning 152 strategies, we first highlight the importance of learning strategy of 153

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OverFeat **R-FCN** NAS-FPN DCN Cascade RCNN Detnas Faster R-CNN (Sermanet et al.) (Dai et al.) (Ghiasi et al.) (Dai et al.) (Cai et al.) (Chen et al.) (Ren et al.) FSAF **R-CNN** YOLO Mask R-CNN CenterNet (Zhu et al.) (Girshick et al.) (He et al.) (Duan et al.) (Redmon et al.) YOLO9000 CornerNet RefineDet Fast R-CNN FPN ExtremeNet SSD (Redmon and FCOS RetinaNet (Law and Deng (Zhang et al.) (Zhou et al.) (Girshick et al.) (Lin et al.) (Liu et al.) Farhadi) (Lin et al.) (Tian et al.) 2017 2014 2015 2016 2012 2013 2018 2019 Hourglass ResNeXt VGGNet SENet (Newell et al.) DPN (Lin et al.) (Simonyan and (Hu et al.) (Chen et al.) **EfficientNet** Zisserman) GoogleNet (Tan and Le) ResNet v2 (Szegedy et al.) NASNet AlexNet (He et al.) MobileNet (Krizhevsky et al.) (Zoph et al.) ResNet (Howard et al.) DenseNet (He et al.) (Huang et al.)

**Fig. 2.** Major milestone in object detection research based on deep convolution neural networks since 2012. The trend in the last year has been designing object detectors based on anchor-free (in red) and AutoML (in green) techniques, which are potentially two important research directions in the future. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Object Detection							
Detection Components			Learning Strategy	Applications & Benchmarks			
Detection Settings	Detection Paradigms	Backbone Architecture	Training Stage	Applications			
		VGG16,ResNet,DenseNet	Data Augmentation	Face Detection			
Bounding Box	Two-Stage Detectors		Imbalance Sampling				
	One-Stage Detectors	MobileNet, ResNeXt	Localization Refinement	Pedestrian Detection			
Pixel Mask			Cascade Learning				
		DetNet, Hourglass Net	Others	Others			
Proposal Generation		Feature Representation	Testing Stage	Public Benchmarks			
Traditional Computer Vision Methods		Multi-scale Feature Learning	Duplicate Removal	MSCOCO, Pascal VOC,			
		Region Fosture Encoding		Open Images			
Anchor-based Methods		Region Feature Encoding		FDDB, WIDER FACE			
Keypoint-based Methods		Contextual Reasoning	Model Acceleration	TODD, WIDEN FACE			
Other Methods		Deformable Feature Learning	Others	KITTI, ETH, CityPersons			

Fig. 3. Taxonomy of key methodologies in this survey. We categorize various contributions for deep learning based object detection into three major categories: Detection Components, Learning Strategies, Applications and Benchmarks. We review each of these categories in detail.

154 detection due to the difficulty of training detectors, and then in-155 troduce the optimization techniques for both training and testing stages in detail. Finally, we review some real-world object detec-156 tion based applications including face detection, pedestrian detec-157 tion, logo detection and video analysis. We also discuss publicly 158 159 available and commonly used benchmarks and evaluation metrics for these detection tasks. Finally we show the state-of-the-art re-160 sults of generic detection on public benchmarks over the recent 161 162 vears.

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We hope our survey can provide a timely review for researchers 163 164 and practitioners to further catalyze research on detection systems. 165 The rest of the paper are organized as follows: in Section 2, we give a standard problem setting of object detection. The details 166 of detector components are listed in Section 3. Then the learning 167 strategies are presented in Section 4. Detection algorithms for real-168 169 world applications and benchmarks are provided in Sections 5 and 6. State-of-the-art results of generic detection, face detection and 170 pedestrian detection are listed in Section 7. Finally, we conclude 171

and discuss future directions in Section 9. The code is available at 172 https://github.com/XiongweiWu/Awesome-Object-Detection. 173

### 2. Problem settings

In this section, we present the formal problem setting for object 175 detection based on deep learning. Object detection involves both 176 recognition (e.g., "object classification") and localization (e.g., "lo-177 cation regression") tasks. An object detector needs to distinguish 178 objects of certain target classes from backgrounds in the image 179 with precise localization and correct categorical label prediction to 180 each object instance. Bounding boxes or pixel masks are predicted 181 to localize these target object instances. 182

More formally, assume we are given a collection of N annotated images  $\{x_1, x_2, ..., x_N\}$ , and for *i*th image  $x_i$ , there are  $M_i$  objects belonging to C categories with annotations: 185

$$y_i = \left\{ (c_1^i, b_1^i), (c_2^i, b_2^i), \dots, (c_{M_i}^i, b_{M_i}^i) \right\}$$
(1)

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where  $c_j^i(c_j^i \in C)$  and  $b_j^i$  (bounding box or pixel mask of the object) denote categorical and spatial labels of *j*th object in  $x_i$  respectively. The detector is *f* parameterized by  $\theta$ . For  $x_i$ , the prediction  $y_{\text{pred}}^i$ shares the same format as  $y_i$ :

$$y_{\text{pred}}^{i} = \left\{ (c_{\text{pred}_{1}}^{i}, b_{\text{pred}_{1}}^{i}), (c_{\text{pred}_{2}}^{i}, b_{\text{pred}_{2}}^{i}), \ldots) \right\}$$
(2)

190 Finally a loss function  $\ell$  is set to optimize detector as:

$$\ell(\mathbf{x},\theta) = \frac{1}{N} \sum_{i=1}^{N} \ell(\mathbf{y}_{\text{pred}}^{i}, \mathbf{x}_{i}, \mathbf{y}_{i}; \theta) + \frac{\lambda}{2} \|\theta\|_{2}^{2}$$
(3)

where the second term is a regularizer, with trade-off parameter  $\lambda$ . Different loss functions such as softmax loss [38] and focal loss [43] impact the final detection performance, and we will discuss these functions in Section 4.

At the time of evaluation, a metric called intersection-overunion (IoU) between objects and predictions is used to evaluate the quality of localization (we omit index i here):

$$IoU(b_{pred}, b_{gt}) = \frac{Area(b_{pred} \cap b_{gt})}{Area(b_{pred} \cup b_{gt})}$$
(4)

Here,  $b_{gt}$  refers to the ground truth bbox or mask. An IoU threshold  $\Omega$  is set to determine whether a prediction *tightly* covers the object or not (i.e. IoU  $\geq \Omega$ ; commonly researchers set  $\Omega = 0.5$ ). For object detection, a prediction with correct categorical label as well as successful localization prediction (meeting the IoU criteria) is considered as positive, otherwise it's a negative prediction:

$$Prediction = \begin{cases} Positive & c_{pred} = c_{gt} \text{ and } IoU(b_{pred}, b_{gt}) > \Omega\\ Negative & otherwise \end{cases}$$
(5)

For generic object detection problem evaluation, mean average pre-204 205 cision (mAP) over C classes is used for evaluation, and in real world 206 scenarios such as pedestrian detection, different evaluation metrics 207 are used. The details of evaluation metric for different detection tasks will be discussed in Section 6. In addition to detection accu-208 racy, inference speed is also an important metric to evaluate object 209 detection algorithms. Specifically, if we wish to detect objects in a 210 211 video stream (real-time detection), it is imperative to have a de-212 tector that can process this information quickly. Thus, the detector 213 efficiency is also evaluated on Frame per second (FPS), i.e., how 214 many images it can process per second. Commonly a detector that 215 can achieve an inference speed of 20 FPS, is considered to be a 216 real-time detector.

### 217 3. Detection components

In this section, we introduce different components of object de-218 219 tection. The first is about the choice of object detection paradigm. We first introduce the concepts of two detection settings: bbox-220 221 level and mask-level algorithms. Then, We introduce two major 222 object detection paradigms: two-stage detectors and one-stage de-223 tectors. Under these paradigms, detectors can use a variety of deep 224 learning backbone architectures, proposal generators, and feature 225 representation modules.

### 226 3.1. Detection settings

There are two settings in object detection: (i) vanilla object 227 detection (bbox-level localization) and (ii) instance segmentation 228 229 (pixel-level or mask-level localization). Vanilla object detection has been more extensively studied and is considered as the traditional 230 231 detection setting, where the goal is to localize objects by rectangle bounding boxes. In vanilla object detection algorithms, only bbox 232 annotations are required, and in evaluation, the IoU between pre-233 dicted bounding box with the ground truth is calculated to mea-234 sure the performance. Instance segmentation is a relatively new 235

setting and is based on traditional detection setting. Instance seg-236 mentation requires to segment each object by a pixel-wise mask 237 instead of a rough rectangle bounding box. Due to more precise 238 pixel-level prediction, instance segmentation is more sensitive to 239 spatial misalignment, and thus has higher requirement to process 240 the spatial information. The evaluation metric of instance segmen-241 tation is almost identical to the bbox-level detection, except that 242 the IoU computation is performed on mask predictions. Though 243 the two detection settings are slightly different, the main compo-244 nents introduced later can mostly be shared by the two settings. 245

### 3.2. Detection paradigms

Current state-of-the-art object detectors with deep learning can 247 be mainly divided into two major categories: two-stage detectors 248 and one-stage detectors. For a two-stage detector, in the first stage, 249 a sparse set of proposals is generated; and in the second stage, the 250 feature vectors of generated proposals are encoded by deep convo-251 lutional neural networks followed by making the object class pre-252 dictions. An one-stage detector does not have a separate stage for 253 proposal generation (or learning a proposal generation). They typ-254 ically consider all positions on the image as potential objects, and 255 try to classify each region of interest as either background or a tar-256 get object. Two-stage detectors often reported state-of-the-art re-257 sults on many public benchmark datasets. However, they generally 258 fall short in terms of lower inference speeds. One-stage detectors 259 are much faster and more desired for real-time object detection 260 applications, but have a relatively poor performance compared to 261 the two-stage detectors. 262

### 3.2.1. Two-stage detectors

Two-stage detectors split the detection task into two stages: (i) 264 proposal generation; and (ii) making predictions for these propos-265 als. During the proposal generation phase, the detector will try to 266 identify regions in the image which may potentially be objects. The 267 idea is to propose regions with a high recall, such that all objects 268 in the image belong to at least one of these proposed region. In 269 the second stage, a deep-learning based model is used to classify 270 these proposals with the right categorical labels. The region may 271 either be a background, or an object from one of the predefined 272 class labels. Additionally, the model may refine the original local-273 ization suggested by the proposal generator. Next, we review some 274 of the most influential efforts among two-stage detectors. 275

**R-CNN** [2] is a pioneering two-stage object detector proposed 276 by Girshick et al. in 2014. Compared to the previous state-277 of-the-art methods based on a traditional detection framework 278 SegDPM [44] with 40.4% mAP on Pascal VOC2010, R-CNN signif-279 icantly improved the detection performance and obtained 53.7% 280 mAP. The pipeline of R-CNN can be divided into three components: 281 (i) proposal generation, (ii) feature extraction and (iii) region clas-282 sification. For each image, R-CNN generates a sparse set of pro-283 posals (around 2000 proposals) via Selective Search [45], which 284 is designed to reject regions that can easily be identified as back-285 ground regions. Then, each proposal is cropped and resized into a 286 fixed-size region and is encoded into a (e.g. 4096 dimensional) fea-287 ture vector by a deep convolutional neural network, followed by a 288 one-vs-all SVM classifier. Finally the bounding box regressors are 289 learned using the extracted features as input in order to make the 290 original proposals tightly bound the objects. Compared to tradi-291 tional hand-crafted feature descriptors, deep neural networks gen-292 erate hierarchical features and capture different scale information 293 in different layers, and finally produce robust and discriminative 294 features for classification. utilize the power of transfer learning, R-295 CNN adopts weights of convolutional networks pre-trained on Im-296 ageNet. The last fully connected layer (FC layer) is re-initialized for 297 the detection task. The whole detector is then finetuned on the 298

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pre-trained model. This transfer of knowledge from the Imagenet
dataset offers significant performance gains. In addition, R-CNN rejects huge number of easy negatives before training, which helps
improve learning speed and reduce false positives.

However, R-CNN faces some critical shortcomings: (i) the fea-303 tures of each proposal were extracted by deep convolutional net-304 works separately (i.e., computation was not shared), which led to 305 heavily duplicated computations. Thus, R-CNN was extremely time-306 307 consuming for training and testing; (ii) the three steps of R-CNN (proposal generation, feature extraction and region classification) 308 309 were independent components and the whole detection framework 310 could not be optimized in an end-to-end manner, making it dif-311 ficult to obtain global optimal solution; and (iii) Selective Search 312 relied on low-level visual cues and thus struggled to generate high quality proposals in complex contexts. Moreover, it is unable to en-313 joy the benefits of GPU acceleration. 314

315 Inspired by the idea of spatial pyramid matching (SPM) [46], He et al. proposed **SPP-net** [47] to accelerate R-CNN as well as learn 316 more discriminative features. Instead of cropping proposal regions 317 and feeding into CNN model separately, SPP-net computes the fea-318 ture map from the whole image using a deep convolutional net-319 320 work and extracts fixed-length feature vectors on the feature map 321 by a Spatial Pyramid Pooling (SPP) layer. SPP partitions the feature 322 map into an  $N \times N$  grid, for multiple values of N (thus allowing obtaining information at different scales), and performs pooling on 323 each cell of the grid, to give a feature vector. The feature vectors 324 obtained from each  $N \times N$  grid are concatenated to give the repre-325 326 sentation for the region. The extracted features are fed into region SVM classifiers and bounding box regressors. In contrast to RCNN, 327 SPP-layer can also work on images/regions at various scales and 328 329 aspect ratios without resizing them. Thus, it does not suffer from 330 information loss and unwanted geometric distortion.

331 SPP-net achieved better results and had a significantly faster inference speed compared to R-CNN. However, the training of 332 SPP-net was still multi-stage and thus it could not be optimized 333 end-to-end (and required extra cache memory to store extracted 334 features). In addition, SPP layer did not back-propagate gradients 335 336 to convolutional kernels and thus all the parameters before the SPP layer were frozen. This significantly limited the learning 337 capability of deep backbone architectures. Girshick et al. proposed 338 Fast R-CNN [38], a multi-task learning detector which addressed 339 these two limitations of SPP-net. Fast R-CNN (like SPP-Net) also 340 computed a feature map for the whole image and extracted fixed-341 length region features on the feature map. Different from SPP-net, 342 343 Fast R-CNN used ROI Pooling layer to extract region features. ROI pooling layer is a special case of SPP which only takes a single 344 345 scale (i.e., only one value of N for the  $N \times N$  grid) to partition the proposal into fixed number of divisions, and also backpropagated 346 error signals to the convolution kernels. After feature extraction, 347 feature vectors were fed into a sequence of fully connected layers 348 before two sibling output layers: classification layer (cls) and 349 350 regression layer (reg). Classification layer was responsible for gen-351 erating softmax probabilities over C+1 classes (C classes plus one 352 background class), while regression layer encoded 4 real-valued 353 parameters to refine bounding boxes. In Fast RCNN, the feature 354 extraction, region classification and bounding box regression steps 355 can all be optimized end-to-end, without extra cache space to store features (unlike SPP Net). Fast R-CNN achieved a much better 356 detection accuracy than R-CNN and SPP-net, and had a better 357 training and inference speed. 358

Despite the progress in learning detectors, the proposal generation step still relied on traditional methods such as Selective Search [45] or Edge Boxes [48], which were based on low-level visual cues and could not be learned in a data-driven manner. To address this issue, **Faster R-CNN** [34] was developed which relied on a novel proposal generator: Region Proposal Network (RPN). This proposal generator could be learned via supervised learning meth-365 ods. RPN is a fully convolutional network which takes an image of 366 arbitrary size and generates a set of object proposals on each po-367 sition of the feature map. The network slid over the feature map 368 using an  $n \times n$  sliding window, and generated a feature vector for 369 each position. The feature vector was then fed into two sibling out-370 put branches, object classification layer (which classified whether 371 the proposal was an object or not) and bounding box regression 372 layer. These results were then fed into the final layer for the ac-373 tual object classification and bounding box localization. RPN could 374 be inserted into Fast R-CNN and thus the whole framework could 375 be optimized in an end-to-end manner on training data. This way 376 RPN enabled proposal generation in a data driven manner, and was 377 also able to enjoy the discriminative power of deep backbone net-378 works. Faster R-CNN was able to make predictions at 5FPS on GPU 379 and achieved state-of-the-art results on many public benchmark 380 datasets, such as Pascal VOC 2007, 2012 and MSCOCO. Currently, 381 there are huge number of detector variants based on Faster R-CNN 382 for different usage [39,49–51]. 383

Faster R-CNN computed feature map of the input image and ex-384 tracted region features on the feature map, which shared feature 385 extraction computation across different regions. However, the com-386 putation was not shared in the region classification step, where 387 each feature vector still needed to go through a sequence of FC 388 layers separately. Such extra computation could be extremely large 389 as each image may have hundreds of proposals. Simply remov-390 ing the fully connected layers would result in the drastic decline 391 of detection performance, as the deep network would have re-392 duced the spatial information of proposals. Dai et al. [52] proposed 393 Region-based Fully Convolutional Networks (R-FCN) which shared 394 the computation cost in the region classification step. R-FCN gen-395 erated a Position Sensitive Score Map which encoded relative posi-396 tion information of different classes, and used a Position Sensitive 397 ROI Pooling layer (PSROI Pooling) to extract spatial-aware region 398 features by encoding each relative position of the target regions. 399 The extracted feature vectors maintained spatial information and 400 thus the detector achieved competitive results compared to Faster 401 R-CNN without region-wise fully connected layer operations. 402

Another issue with Faster R-CNN was that it used a single deep 403 layer feature map to make the final prediction. This made it diffi-404 cult to detect objects at different scales. In particular, it was diffi-405 cult to detect small objects. In DCNN feature representations, deep 406 layer features are semantically-strong but spatially-weak, while 407 shallow layer features are semantically-weak but spatially-strong. 408 Lin et al. [39] exploited this property and proposed Feature Pyra-409 mid Networks (FPN) which combined deep layer features with 410 shallow layer features to enable object detection in feature maps 411 at different scales. The main idea was to strengthen the spatially 412 strong shallow layer features with rich semantic information from 413 the deeper layers. FPN achieved significant progress in detecting 414 multi-scale objects and has been widely used in many other do-415 mains such as video detection [53,54] and human pose recognition 416 [55,56]. 417

Most instance segmentation algorithms are extended from 418 vanilla object detection algorithms. Early methods [57-59] com-419 monly generated segment proposals, followed by Fast RCNN for 420 segments classification. Later, Dai et al. [59] proposed a multi-421 stage algorithm named "MNC" which divided the whole detection 422 framework into multiple stages and predicted segmentation masks 423 from the learned bounding box proposals, which were later cat-424 egorized by region classifiers. These early works performed bbox 425 and mask prediction in multiple stages. To make the whole process 426 more flexible, He et al. [3] proposed Mask R-CNN, which predicted 427 bounding boxes and segmentation masks in parallel based on the 428 proposals and reported state-of-the-art results. Based on Mask R-429 CNN, Huang et al. [60] proposed a mask-guality aware framework, 430

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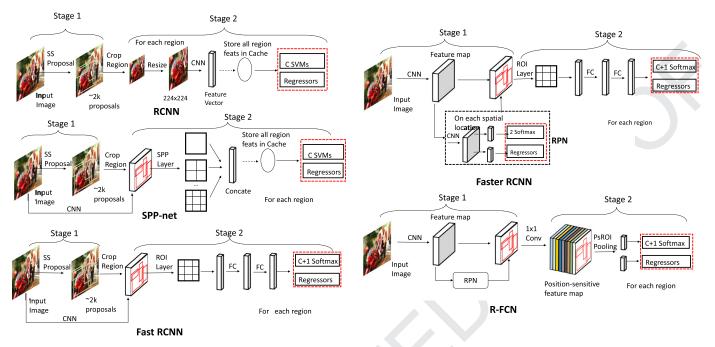


Fig. 4. Overview of different two-stage detection frameworks for generic object detection. Red dotted rectangles denote the outputs that define the loss functions. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

named Mask Scoring R-CNN, which learned the quality of the pre dicted masks and calibrated the misalignment between mask qual-

433 ity and mask confidence score.

Fig. 4 gives an overview of the detection frameworks for several representative two-stage detectors.

### 436 3.2.2. One-stage detectors

Different from two-stage detection algorithms which divide the detection pipeline into two parts: proposal generation and region classification; one-stage detectors do not have a separate stage for proposal generation (or learning a proposal generation). They typically consider all positions on the image as potential objects, and try to classify each region of interest as either background or a target object.

One of the early successful one-stage detectors based on deep 444 learning was developed by Sermanet et al. [61] named OverFeat. 445 OverFeat performed object detection by casting DCNN classifier 446 into a fully convolutional object detector. Object detection can be 447 viewed as a "multi-region classification" problem, and thus Over-448 449 Feat extended the original classifier into detector by viewing the 450 last FC layers as 1x1 convolutional layers to allow arbitrary input. 451 The classification network output a grid of predictions on each region of the input to indicate the presence of an object. After iden-452 453 tifying the objects, bounding box regressors were learned to refine 454 the predicted regions based on the same DCNN features of classifier. In order to detect multi-scale objects, the input image was 455 resized into multiple scales which were fed into the network. Fi-456 nally, the predictions across all the scales were merged together. 457 OverFeat showed significant speed strength compared with RCNN 458 459 by sharing the computation of overlapping regions using convolu-460 tional layers, and only a single pass forward through the network 461 was required. However, the training of classifiers and regressors were separated without being jointly optimized. 462

Later, Redmon etal. [40] developed a real-time detector called YOLO (You Only Look Once). YOLO considered object detection as a regression problem and spatially divided the whole image into fixed number of grid cells (e.g. using a  $7 \times 7$  grid). Each cell was considered as a proposal to detect the presence of one or more objects. In the original implementation, each cell was considered to 468 contain the center of (upto) two objects. For each cell, a prediction 469 was made which comprised the following information: whether 470 that location had an object, the bounding box coordinates and size 471 (width and height), and the class of the object. The whole frame-472 work was a single network and it omitted proposal generation step 473 which could be optimized in an end-to-end manner. Based on a 474 carefully designed lightweight architecture, YOLO could make pre-475 diction at 45 FPS, and reach 155 FPS with a more simplified back-476 bone. However, YOLO faced some challenges: (i) it could detect 477 upto only two objects at a given location, which made it difficult 478 to detect small objects and crowded objects [40]. (ii) only the last 479 feature map was used for prediction, which was not suitable for 480 predicting objects at multiple scales and aspect ratios. 481

In 2016, Liu etal. proposed another one-stage detector Single-482 Shot Mulibox Detector (SSD) [42] which addressed the limitations 483 of YOLO. SSD also divided images into grid cells, but in each grid 484 cell, a set of anchors with multiple scales and aspect-ratios were 485 generated to discretize the output space of bounding boxes (un-486 like predicting from fixed grid cells adopted in YOLO). Each anchor 487 was refined by 4-value offsets learned by the regressors and was 488 assigned (C+1) categorical probabilities by the classifiers. In addi-489 tion, SSD predicted objects on multiple feature maps, and each of 490 these feature maps was responsible for detecting a certain scale of 491 objects according to its receptive fields. In order to detect large ob-492 jects and increase receptive fields, several extra convolutional fea-493 ture maps were added to the original backbone architecture. The 494 whole network was optimized with a weighted sum of localization 495 loss and classification loss over all prediction maps via an end-to-496 end training scheme. The final prediction was made by merging 497 all detection results from different feature maps. In order to avoid 498 huge number of negative proposals dominating training gradients, 499 hard negative mining was used to train the detector. Intensive data 500 augmentation was also applied to improve detection accuracy. SSD 501 achieved comparable detection accuracy with Faster R-CNN but en-502 joyed the ability to do real-time inference. 503

Without proposal generation to filter easy negative samples, the 504 class imbalance between foreground and background is a severe 505

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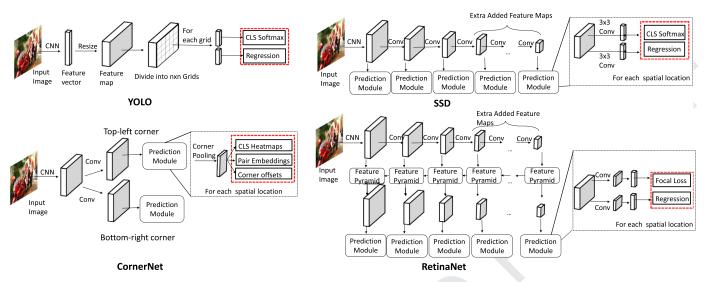


Fig. 5. Overview of different one-stage detection frameworks for generic object detection. Red rectangles denotes the outputs that define the objective functions.

506 problem in one-stage detector. Lin et al. [43] proposed a one-stage detector RetinaNet which addressed class imbalance problem in a 507 more flexible manner. RetinaNet used focal loss which suppressed 508 the gradients of easy negative samples instead of simply discard-509 ing them. Further, they used feature pyramid networks to detect 510 511 multi-scale objects at different levels of feature maps. Their pro-512 posed focal loss outperformed naive hard negative mining strategy 513 by large margins.

514 Redmon et al. proposed an improved YOLO version, 515 **YOLOv2** [41] which significantly improved detection performance 516 but still maintained real-time inference speed. YOLOv2 adopted a more powerful deep convolutional backbone architecture which 517 was pre-trained on higher resolution images from ImageNet (from 518  $224 \times 224$  to  $448 \times 448$ ), and thus the weights learned were 519 520 more sensitive to capturing fine-grained information. In addition, inspired by the anchor strategy used in SSD, YOLOv2 defined 521 better anchor priors by k-means clustering from the training data 522 (instead of setting manually). This helped in reducing optimizing 523 difficulties in localization. Finally integrating with Batch Normal-524 525 ization layers [62] and multi-scale training techniques, YOLOv2 526 achieved state-of-the-art detection results at that time.

527 The previous approaches required designing anchor boxes man-528 ually to train a detector. Later a series of anchor-free object detectors were developed, where the goal was to predict keypoints 529 530 of the bounding box, instead of trying to fit an object to an anchor. Law and Deng proposed a novel anchor-free framework Cor-531 nerNet [63] which detected objects as a pair of corners. On each 532 position of the feature map, class heatmaps, pair embeddings and 533 corner offsets were predicted. Class heatmaps calculated the prob-534 535 abilities of being corners, and corner offsets were used to regress 536 the corner location. And the pair embeddings served to group a 537 pair of corners which belong to the same objects. Without relying on manually designed anchors to match objects, CornerNet ob-538 tained significant improvement on MSCOCO datasets. Later there 539 were several other variants of keypoint detection based one-stage 540 detectors [64,65]. 541

Fig. 5 gives an overview of different detection frameworks for 542 several representative one-stage detectors. 543

#### 3.3. Backbone architecture 544

R-CNN [2] showed adopting convolutional weights from models 545 pre-trained on large scale image classification problem could pro-546 vide richer semantic information to train detectors and enhanced 547

the detection performance. During the later years, this approach 548 had become the default strategy for most object detectors. In this 549 section, we will first briefly introduce the basic concept of deep 550 convolutional neural networks and then review some architectures 551 which are widely used for detection. 552

### 3.3.1. Basic architecture of a CNN

Deep convolutional neural network (DCNN) is a typical deep 554 neural network and has proven extremely effective in visual un-555 derstanding [33,36]. Deep convolutional neural networks are com-556 monly composed of a sequence of convolutional layers, pooling 557 layers, nonlinear activation layers and fully connected layers (FC 558 layers). Convolutional layer takes an image input and convolves 559 over it by  $n \times n$  kernels to generate a feature map. The generated 560 feature map can be regarded as a multi-channel image and each 561 channel represents different information about the image. Each 562 pixel in the feature map (named neuron) is connected to a small 563 portion of adjacent neurons from the previous map, which is called 564 the receptive field. After generating feature maps, a non-linear ac-565 tivation layer is applied. Pooling layers are used to summarize the signals within the receptive fields, to enlarge receptive fields as well as reduce computation cost,.

With the combination of a sequence of convolutional layers, 569 pooling layers and non-linear activation layers, the deep convo-570 lutional neural network is built. The whole network can be op-571 timized via a defined loss function by gradient-based optimiza-572 tion method (stochastic gradient descent [66], Adam [67], etc.). A 573 typical convolutional neural network is AlexNet [33], which con-574 tains five convolutional layers, three max-pooling layers and three 575 fully connected layers. Each convolutional layer is followed by a 576 ReLU [68] non-linear activation layer.

### 3.3.2. CNN Backbone for object detection

In this section, we will review some architectures which are widely used in object detection tasks with state-of-the-art results, 580 such as VGG16 [34,38], ResNet [1,52], ResNeXt [43] and Hour-581 glass [63]. 582

VGG16 [69] was developed based on AlexNet. VGG16 is composed of five groups of convolutional layers and three FC layers. There are two convolutional layers in the first two groups and three convolutional layers in the next three groups. Between each group, a Max Pooling layer is applied to decrease spatial dimen-587 sion. VGG16 showed that increasing depth of networks by stacking 588

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convolutional layers could increase the model's expression capabil-589 590 ity, and led to a better performance. However, increasing model depth to 20 layers by simply stacking convolutional layers led 591 592 to optimization challenges with SGD. The performance declined significantly and was inferior to shallower models, even during 593 the training stages. Based on this observation, He et al. [1] pro-594 posed ResNet which reduced optimization difficulties by introduc-595 ing shortcut connections. Here, a layer could skip the nonlinear 596 597 transformation and directly pass the values to the next layer as is 598 (thus giving us an implicit identity layer). This is given as:

$$x_{l+1} = x_l + f_{l+1}(x_l, \theta)$$
(6)

599 where  $x_l$  is the input feature in *l*-th layer and  $f_{l+1}$  denotes operations on input  $x_l$  such as convolution, normalization or non-linear 600 activation.  $f_{l+1}(x_l, \theta)$  is the residual function to  $x_l$ , so the feature 601 602 map of any deep layer can be viewed as the sum of the activation of shallow layer and the residual function. Shortcut connection 603 604 creates a highway which directly propagates the gradients from deep layers to shallow units and thus, significantly reduces training 605 difficulty. With residual blocks effectively training networks, the 606 607 model depth could be increased (e.g. from 16 to 152), allowing us 608 to train very high capacity models. Later, He et al. [70] proposed 609 a pre-activation variant of ResNet, named ResNet-v2. Their experiments showed appropriate ordering of the Batch Normalization 610 [62] could further perform better than original ResNet. This sim-611 ple but effective modification of ResNet made it possible to suc-612 cessfully train a network with more than 1000 layers, and still en-613 614 joyed improved performance due to the increase in depth. Huang et al. argued that although ResNet reduced the training difficulty 615 616 via shortcut connection, it did not fully utilize features from previ-617 ous layers. The original features in shallow layers were missing in element-wise operation and thus could not be directly used later. 618 They proposed DenseNet [71], which retained the shallow layer 619 features, and improved information flow, by concatenating the in-620 put with the residual output instead of element-wise addition: 621

$$\mathbf{x}_{l+1} = \mathbf{x}_l \circ f_{l+1}(\mathbf{x}_l, \theta) \tag{7}$$

where  $\circ$  denotes concatenation. Chen [72] et al. argued that in 622 DenseNet, the majority of new exploited features from shallow 623 layers were duplicated and incurred high computation cost. Inte-624 625 grating the advantages of both ResNet and DenseNet, they propose a Dual Path Network (DPN) which divides  $x_l$  channels into 626 two parts:  $x_l^d$  and  $x_l^r$ .  $x_l^d$  was used for dense connection computa-tion and  $x_l^r$  was used for element-wise summation, with unshared 627 628 residual learning branch  $f_{l+1}^d$  and  $f_{l+1}^r$ . The final result was the con-629 catenated output of the two branches: 630

$$\mathbf{x}_{l+1} = (\mathbf{x}_{l}^{r} + f_{l+1}^{r}(\mathbf{x}_{l}^{r}, \theta^{r})) \circ (\mathbf{x}_{l}^{d} \circ f_{l+1}^{d}(\mathbf{x}_{l}^{d}, \theta^{d}))$$
(8)

Based on ResNet, Xie et al. [73] proposed ResNeXt which con-631 siderably reduced computation and memory cost while main-632 taining comparable classification accuracy. ResNeXt adopted group 633 634 convolution layers [33] which sparsely connects feature map chan-635 nels to reduce computation cost. By increasing group number to keep computation cost consistent to the original ResNet, ResNeXt 636 captures richer semantic feature representation from the train-637 ing data and thus improves backbone accuracy. Later, Howard 638 et al. [74] set the coordinates equal to number of channels of each 639 feature map and developed MobileNet. MobileNet significantly re-640 duced computation cost as well as number of parameters without 641 642 significant loss in classification accuracy. This model was specifically designed for usage on a mobile platform. 643

In addition to increasing model depth, some efforts explored benefits from increasing model width to improve the learning capacity. Szegedy et al. proposed GoogleNet with an inception module [75] which applied different scale convolution kernels  $(1 \times 1, 3 \times 3 \text{ and } 5 \times 5)$  on the same feature map in a given layer. This way it captured multi-scale features and summarized these features together as an output feature map. Better versions of this model were developed later with different design of choice of convolution kernels [76], and introducing residual blocks [77].

The network structures introduced above were all designed 653 for image classification. Typically these models trained on Ima-654 geNet are adopted as initialization of the model used for object 655 detection. However, directly applying this pre-trained model from 656 classification to detection is sub-optimal due to a potential con-657 flict between classification and detection tasks. Specifically, (i) 658 classification requires large receptive fields and wants to maintain 659 spatial invariance. Thus multiple downsampling operation (such 660 as pooling layer) are applied to decrease feature map resolution. 661 The feature maps generated are low-resolution and spatially 662 invariant and have large receptive fields. However, in detection, 663 high-resolution spatial information is required to correctly local-664 ize objects; and (ii) classification makes predictions on a single 665 feature map, while detection requires feature maps with multiple 666 representations to detect objects at multiple scales. To bridge 667 the difficulties between the two tasks, Li et al. introduced Det-668 Net [78] which was designed specifically for detection. DetNet 669 kept high resolution feature maps for prediction with dilated 670 convolutions to increase receptive fields. In addition, DetNet de-671 tected objects on multi-scale feature maps, which provided richer 672 information. DetNet was pre-trained on large scale classification 673 dataset while the network structure was designed for detection. 674

Hourglass Network [79] is another architecture, which was not 675 designed specifically for image classification. Hourglass Network 676 first appeared in human pose recognition task [79], and was a 677 fully convolutional structure with a sequence of hourglass mod-678 ules. Hourglass module first downsampled the input image via a 679 sequence of convolutional layer or pooling layer, and upsampled 680 the feature map via deconvolutional operation. To avoid informa-681 tion loss in downsampling stage, skip connection were used be-682 tween downsampling and upsampling features. Hourglass mod-683 ule could capture both local and global information and thus was 684 very suitable for object detection. Currently Hourglass Network is 685 widely used in state-of-the-art detection frameworks [63-65]. 686

### 3.4. Proposal generation

Proposal generation plays a very important role in the object 688 detection framework. A proposal generator generates a set of rect-689 angle bounding boxes, which are potentially objects. These propos-690 als are then used for classification and localization refinement. We 691 categorize proposal generation methods into four categories: tra-692 ditional computer vision methods, anchor-based supervised learn-693 ing methods, keypoint based methods and other methods. Notably, 694 both one-stage detectors and two-stage detectors generate propos-695 als, the main difference is two-stage detectors generates a sparse 696 set of proposals with only foreground or background information, 697 while one-stage detectors consider each region in the image as a 698 potential proposal, and accordingly estimates the class and bound-699 ing box coordinates of potential objects at each location. 700

### 3.4.1. Traditional computer vision methods

These methods generate proposals in images using traditional 702 computer vision methods based on low-level cues, such as edges, 703 corners, color, etc. These techniques can be categorized into three 704 principles: (i) computing the 'objectness score' of a candidate box; 705 (ii) merging super-pixels from original images; (iii) generating multiple foreground and background segments; 707

*Objectness Score* based methods predict an objectness score of 708 each candidate box measuring how likely it may contain an object. Arbelaez et al. [80] assigned objectness score to proposals 710 by classification based on visual cues such as color contrast, edge 711

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density and saliency. Rahtu et al. [81] revisited the idea of Arbelaez et al. [80] and introduced a more efficient cascaded learning
method to rank the objectness score of candidate proposals.

715 Superpixels merging is based on merging superpixels generated from segmentation results. Selective Search [45] was a pro-716 posal generation algorithm based on merging super-pixels. It com-717 puted the multiple hierarchical segments generated by segmenta-718 tion method [82], which were merged according to their visual fac-719 720 tors (color, areas, etc.), and finally bounding boxes were placed on the merged segments. Manen et al. [83] proposed a similar idea 721 722 to merge superpixels. The difference was that the weight of the 723 merging function was learned and the merging process was randomized. Selective Search is widely used in many detection frame-724 725 works due to its efficiency and high recall compared to other traditional methods. 726

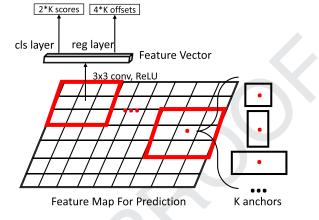
Seed segmentation starts with multiple seed regions, and for 727 each seed, foreground and background segments are generated. To 728 avoid building up hierarchical segmentation, CPMC [84] generated 729 a set of overlapping segments initialized with diverse seeds. Each 730 proposal segment was the solution of a binary (foreground or back-731 ground) segmentation problem. Enreds and Hoiem [85] combined 732 733 the idea of Selective Search [45] and CPMC [84]. It started with 734 super-pixels and merged them with new designed features. These 735 merged segments were used as seeds to generate larger segments, 736 which was similar to CPMC. However, producing high quality segmentation masks is very time-consuming and it's not applicable to 737 738 large scale datasets.

739 The primary advantage of these traditional computer vision methods is that they are very simple and can generate propos-740 als with high recall (e.g. on medium scale datasets such as Pascal 741 VOC). However, these methods are mainly based on low level vi-742 743 sual cues such as color or edges. They cannot be jointly optimized 744 with the whole detection pipeline. Thus they are unable to exploit 745 the power of large scale datasets to improve representation learning. On challenging datasets such as MSCOCO [86], traditional com-746 puter vision methods struggled to generate high quality proposals 747 due to these limitations. 748

#### 749 3.4.2. Anchor-based methods

One large family of supervised proposal generators is anchor-750 based methods. They generate proposals based on pre-defined an-751 chors. Ren et al. proposed Region Proposal Network (RPN) [34] to 752 753 generate proposals in a supervised way based on deep convolutional feature maps. The network slid over the entire feature map 754 using  $3 \times 3$  convolution filters. For each position, k anchors (or ini-755 tial estimates of bounding boxes) of varying size and aspect ra-756 757 tios were considered. These sizes and ratios allowed for match-758 ing objects at different scales in the entire image. Based on the 759 ground truth bonding boxes, the object locations were matched 760 with the most appropriate anchors to obtain the supervision signal for the anchor estimation. A 256-dimensional feature vec-761 762 tor was extracted from each anchor and was fed into two sibling 763 branches - classification layer and regression layer. Classification branch was responsible for modeling objectness score while re-764 gression branch encoded four real-values to refine location of the 765 bounding box from the original anchor estimation. Based on the 766 ground truth, each anchor was predicted to either be an object, 767 768 or just background by the classification branch (See Fig. 6). Later, 769 SSD [42] adopted a similar idea of anchors in RPN by using multi-770 scale anchors to match objects. The main difference was that SSD assigned categorical probabilities to each anchor proposal, while 771 RPN first evaluated whether the anchor proposal was foreground 772 or background and performed the categorical classification in the 773 774 next stage.

Despite promising performance, the anchor priors are manually designed with multiple scales and aspect ratios in a heuris-



**Fig. 6.** Diagram of RPN [34]. Each position of the feature map connects with a sliding windows, followed with two sibling branches.

tic manner. These design choices may not be optimal, and dif-777 ferent datasets would require different anchor design strategies. 778 Many efforts have been made to improve the design choice of an-779 chors. Zhang et al. proposed Single Shot Scale-invariant Face De-780 tector (S3FD) [87] based on SSD with carefully designed anchors to 781 match the objects. According to the effective receptive field [88] of 782 different feature maps, different anchor priors were designed. Zhu 783 et al. [89] introduced an anchor design method for matching small 784 objects by enlarging input image size and reducing anchor strides. 785 Xie et al. proposed Dimension-Decomposition Region Proposal Net-786 work (DeRPN) [90] which decomposed the dimension of anchor 787 boxes based on RPN. DeRPN used an anchor string mechanism to 788 independently match objects width and height. This helped match 789 objects with large scale variance and reduced the searching space. 790

Ghodrati et al. developed DeepProposals [91] which pre-791 dicted proposals on the low-resolution deeper layer feature map. 792 These were then projected back onto the high-resolution shal-793 low layer feature maps, where they are further refined. Redmon 794 et al. [41] designed anchor priors by learning priors from the train-795 ing data using k-means clustering. Later, Zhang et al. introduced 796 Single-Shot Refinement Neural Network (RefineDet) [92] which re-797 fined the manually defined anchors in two steps. In the first step, 798 RefineDet learned a set of localization offsets based on the orig-799 inal hand-designed anchors and these anchors were refined by 800 the learned offsets. In the second stage, a new set of localization 801 offsets were learned based on the refined anchors from the first 802 step for further refinement. This cascaded optimization framework 803 significantly improved the anchor quality and final prediction ac-804 curacy in a data-driven manner. Cai et al. proposed Cascade R-805 CNN [49] which adopted a similar idea as RefineDet by refining 806 proposals in a cascaded way. Yang et al. [93] modeled anchors 807 as functions implemented by neural networks which was com-808 puted from customized anchors. Their method MetaAnchor showed 809 comprehensive improvement compared to other manually defined 810 methods but the customized anchors were still designed manually. 811

### 3.4.3. Keypoints-based methods

Another proposal generation approach is based on keypoint 813 detection, which can be divided into two families: corner-based 814 methods and center-based methods. Corner-based methods predict 815 bounding boxes by merging pairs of corners learned from the fea-816 ture map. Denet [94] reformulated the object detection problem in 817 a probabilistic way. For each point on the feature map, Denet mod-818 eled the distribution of being one of the 4 corner types of objects 819 (top-left, top-right, bottom-left, bottom-right), and applied a naive 820 bayesian classifiers over each corner of the objects to estimate the 821 confidence score of a bounding box. This corner-based algorithm 822

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823 eliminated the design of anchors and became a more effective 824 method to produce high quality proposals. Later based on Denet, Law and Deng proposed CornerNet [63] which directly modeled 825 826 categorical information on corners. CornerNet modeled information of top-left and bottom-right corners with novel feature em-827 bedding methods and corner pooling layer to correctly match key-828 points belonging to the same objects, obtaining state-of-the-art re-829 sults on public benchmarks. For center-based methods, the probabil-830 831 ity of being the center of the objects is predicted on each position 832 of the feature map, and the height and width are directly regressed 833 without any anchor priors. Zhu et al. [95] presented a feature-834 selection-anchor-free (FSAF) framework which could be plugged into one-stage detectors with FPN structure. In FSAF, an online 835 836 feature selection block is applied to train multi-level center-based branches attached in each level of the feature pyramid. During 837 training, FSAF dynamically assigned each object to the most suit-838 able feature level to train the center-based branch. Similar to FSAF, 839 Zhou et al. proposed a new center-based framework [64] based 840 on a single Hourglass network [63] without FPN structure. Fur-841 thermore, they applied center-based method into higher-level 842 problems such as 3D-detection and human pose recognition, and 843 844 all achieved state-of-the-art results. Duan et al. [65] proposed 845 CenterNet, which combined the idea of center-based methods and 846 corner-based methods. CenterNet first predicted bounding boxes 847 by pairs of corners, and then predicted center probabilities of the initial prediction to reject easy negatives. CenterNet obtained sig-848 nificant improvements compared with baselines. These anchor-free 849 850 methods form a promising research direction in the future.

### 851 3.4.4. Other methods

852 There are some other proposal generation algorithms which are 853 not based on keypoints or anchors but also offer competitive performances. Lu et al. proposed AZnet [96] which automatically fo-854 cused on regions of high interest. AZnet adopted a search strat-855 egy that adaptively directed computation resources to sub-regions 856 857 which were likely contain objects. For each region, AZnet predicted two values: zoom indicator and adjacency scores. Zoom indicator 858 859 determined whether to further divide this region which may contain smaller objects and adjacency scores denoted its objectness. 860 The starting point was the entire image and each divided sub-861 862 region is recursively processed in this way until the zoom indicator 863 is too small. AZnet was better at matching sparse and small objects 864 compared to RPN's anchor-object matching approach.

### 865 3.5. Feature representation learning

866 Feature Representation Learning is a critical component in the 867 whole detection framework. Target objects lie in complex environ-868 ments and have large variance in scale and aspect ratios. There is 869 a need to train a robust and discriminative feature embedding of 870 objects to obtain a good detection performance. In this section, we 871 introduce feature representation learning strategies for object detection. Specifically, we identify three categories: multi-scale fea-872 ture learning, contextual reasoning, and deformable feature learn-873 874 ing.

### 875 3.5.1. Multi-scale feature learning

Typical object detection algorithms based on deep convolu-876 tional networks such as Fast R-CNN [38] and Faster R-CNN [34] use 877 only a single layer's feature map to detect objects. However, de-878 tecting objects across large range of scales and aspect ratios is 879 quite challenging on a single feature map. Deep convolutional net-880 works learn hierarchical features in different layers which cap-881 ture different scale information. Specifically, shallow layer features 882 with spatial-rich information have higher resolution and smaller 883

receptive fields and thus are more suitable for detecting small ob-884 jects, while semantic-rich features in deep layers are more robust 885 to illumination, translation and have larger receptive fields (but 886 coarse resolutions), and are more suitable for detecting large ob-887 jects. When detecting small objects, high resolution representa-888 tions are required and the representation of these objects may not 889 even be available in the deep layer features, making small object 890 detection difficult. Some techniques such as dilated/atrous convolu-891 tions [52,97] were proposed to avoid downsampling, and used the 892 high resolution information even in the deeper layers. At the same 893 time, detecting large objects in shallow layers are also non-optimal 894 without large enough receptive fields. Thus, handling feature scale 895 issues has become a fundamental research problem within object 896 detection. There are four main paradigms addressing multi-scale 897 feature learning problem: Image Pyramid, Prediction Pyramid, In-898 tegrated Features and Feature Pyramid. These are briefly illustrated 899 in the Fig. 7. 900

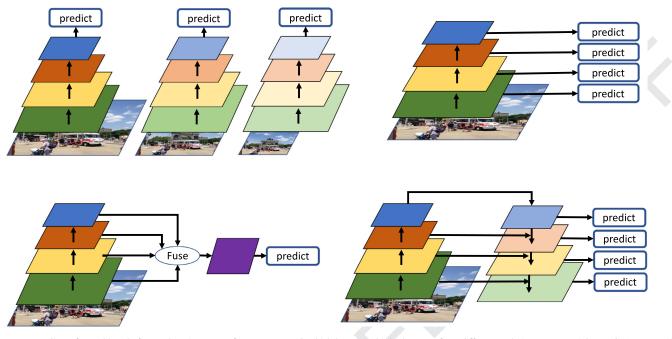
*Image pyramid*: An intuitive idea is to resize input images into 901 a number of different scales (Image Pyramid) and to train mul-902 tiple detectors, each of which is responsible for a certain range 903 of scales [98–101]. During testing, images are resized to different 904 scales followed by multiple detectors and the detection results are 905 merged. This can be computationally expensive. Liu et al. [101] first 906 learned a light-weight scale-aware network to resize images such 907 that all objects were in a similar scale. This was followed by learn-908 ing a single scale detector. Singh et. al. [98] conducted compre-909 hensive experiments on small object detection. They argued that 910 learning a single scale-robust detector to handle all scale objects 911 was much more difficult than learning scale-dependent detectors 912 with image pyramids. In their work, they proposed a novel frame-913 work Scale Normalization for Image Pyramids (SNIP) [98] which 914 trained multiple scale-dependent detectors and each of them was 915 responsible for a certain scale objects. 916

Integrated features: Another approach is to construct a single 917 feature map by combining features in multiple layers and making 918 final predictions based on the new constructed map [50,51,102-919 105]. By fusing spatially rich shallow layer features and semantic-920 rich deep layer features, the new constructed features contain rich 921 information and thus can detect objects at different scales. These 922 combinations are commonly achieved by using skip connections 923 [1]. Feature normalization is required as feature norms of different 924 layers have a high variance. Bell et al. proposed Inside-Outside 925 Network (ION) [51] which cropped region features from differ-926 ent layers via ROI Pooling [38], and combined these multi-scale 927 region features for the final prediction. Kong et. al. proposed 928 HyperNet [50] which adopted a similar idea as IoN. They carefully 929 designed high resolution hyper feature maps by integrating inter-930 mediate and shallow layer features to generate proposals and de-931 tect objects. Deconvolutional layers were used to up-sample deep 932 layer feature maps and batch normalization layers were used to 933 normalize input blobs in their work. The constructed hyper feature 934 maps could also implicitly encode contextual information from 935 different layers. Inspired by fine-grained classification algorithms 936 which integrate high-order representation instead of exploiting 937 simple first-order representations of object proposals, Wang et al. 938 proposed a novel framework Multi-scale Location-aware Kernel 939 Representation (MLKP) [103] which captured high-order statistics 940 of proposal features and generated more discriminative feature 941 representations efficiently. The combined feature representation 942 was more descriptive and provides both semantic and spatial 943 information for both classification and localization. 944

*Prediction pyramid*: Liu et al.'s SSD [42] combined coarse 945 and fine features from multiple layers together. In SSD, predictions were made from multiple layers, where each layer was 947 responsible for a certain scale of objects. Later, many efforts 948 [106–108] followed this principle to detect multi-scale objects. 949

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**Fig. 7.** Four paradigms for multi-scale feature learning. Top Left: *Image Pyramid*, which learns multiple detectors from different scale images; Top Right: *Prediction Pyramid*, which predicts on multiple feature maps; Bottom Left: *Integrated Features*, which predicts on single feature map generated from multiple features; Bottom Right: *Feature Pyramid* which combines the structure of *Prediction Pyramid* and *Integrated Features*.

Yang et al. [100] also exploited appropriate feature maps to gen-950 erate certain scale of object proposals and these feature maps 951 were fed into multiple scale-dependent classifiers to predict ob-952 953 jects. In their work, cascaded rejection classifiers were learned to reject easy background proposals in early stages to accelerate 954 detection speed. Multi-scale Deep Convolutional Neural Network 955 (MSCNN) [106] applied deconvolutional layers on multiple feature 956 maps to improve their resolutions, and later these refined feature 957 958 maps were used to make predictions. Liu et al. proposed a Receptive Field Block Net (RFBNet) [108] to enhance the robustness and 959 receptive fields via a receptive field block (RFB block). RFB block 960 961 adopted similar ideas as the inception module [75] which captured features from multiple scale and receptive fields via multi-962 963 ple branches with different convolution kernels and finally merged 964 them together.

Feature pyramid: To combine the advantage of Integrated Fea-965 tures and Prediction Pyramid, Lin et al. proposed Feature Pyramid 966 Network (FPN) [39] which integrated different scale features 967 968 with lateral connections in a top-down fashion to build a set 969 of scale invariant feature maps, and multiple scale-dependent 970 classifiers were learned on these feature pyramids. Specifically, 971 the deep semantic-rich features were used to strengthen the shallow spatially-rich features. These top-down and lateral features 972 973 were combined by element-wise summation or concatenation, with small convolutions reducing the dimensions. FPN showed 974 significant improvement in object detection, as well as other 975 applications, and achieved state-of-the art results in learning 976 977 multi-scale features. Many variants of FPN were later developed [92,109,109–119], with modifications to the feature pyramid block 978 (see Fig. 8). Kong et al. [120] and Zhang et. al. [92] built scale in-979 variant feature maps with lateral connections. Different from FPN 980 981 which generated region proposals followed by categorical classi-982 fiers, their methods omitted proposal generation and thus were more efficient than original FPN. Ren et al. [109] and Jeong et al. 983 [110] developed a novel structure which gradually and selectively 984 encoded contextual information between different layer features. 985 Inspired by super resolution tasks [121,122], Zhou et al. [111] de-986

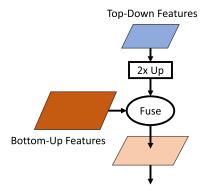


Fig. 8. General framework for feature combination. Top-down features are 2 times up-sampled and fuse with bottom-up features. The fuse methods can be element-wise sum, multiplication, concatenation and so on. Convolution and normalization layers can be inserted in to this general framework to enhance semantic information and reduce memory cost.

veloped high resolution feature maps using a novel transform 987 block which explicitly explored the inter-scale consistency nature 988 across multiple detection scales. 989

### 3.5.2. Region feature encoding

For two-stage detectors, region feature encoding is a critical 991 step to extract features from proposals into fixed length feature 992 vectors. In R-CNN, Girshick et al. [2] cropped region proposals from 993 the whole image and resized the cropped regions into fixed sized 994 patches  $(224 \times 224)$  via bilinear interpolation, followed by a deep convolution feature extractor. Their method encoded high resolution region features but the computation was expensive. 997

Later Girshick et al. [38] and Ren [34] proposed ROI Pooling 998 layer to encode region features. ROI Pooling divided each region 999 into  $n \times n$  cells (e.g.  $7 \times 7$  by default) and only the neuron with the 1000 maximum signal would go ahead in the feedforward stage. This 1001 is similar to max-pooling, but across (potentially) different sized 1002 regions. ROI Pooling extracted features from the down-sampled 1003

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1004 feature map and as a result struggled to handle small objects. 1005 Dai [59] proposed ROI Warping layer which encoded region features via bilinear interpolation. Due to the downsampling opera-1006 1007 tion in DCNN, there can be a misalignment of the object position in the original image and the downsampled feature maps, 1008 which RoI Pooling and RoI Warping layers are not able to han-1009 dle. Instead of quantizing grids border as ROI Warping and ROI 1010 Pooling do, He et al. [3] proposed ROI Align layer which ad-1011 1012 dressed the quantization issue by bilinear interpolation at fractionally sampled positions within each grid. Based on ROI Align, Jiang 1013 1014 et al. [123] presented Precise ROI Pooing (PrROI Pooling), which 1015 avoided any quantization of coordinates and had a continuous gra-1016 dient on bounding box coordinates.

1017 In order to enhance spatial information of the downsampled region features, Dai et al. [52] proposed Position Sensitive ROI Pooing 1018 (PSROI Pooling) which kept relative spatial information of down-1019 sampled features. Each channel of generated region feature map 1020 only corresponded to a subset channels of input region accord-1021 ing to its relative spatial position. Based on PSROI Pooling, Zhai 1022 et al. [124] presented feature selective networks to learn robust 1023 region features by exploiting disparities among sub-region and as-1024 pect ratios. The proposed network encoded sub-region and aspect 1025 1026 ratio information which were selectively pooled to refine initial re-1027 gion features by a light-weight head.

1028 Later, more algorithms were proposed to well encode region features from different viewpoints. Zhu et al. proposed Cou-1029 pleNet [125] which extracted region features by combining outputs 1030 1031 generated from both ROI Pooling layer and PSROI Pooling layer. ROI Pooling layer extracted global region information but struggled 1032 for objects with high occlusion while PSROI Pooling layer focused 1033 more on local information. CoupleNet enhanced features generated 1034 1035 from ROI Pooling and PSROI Pooling by element-wise summation 1036 and generated more powerful features. Later Dai et al. proposed Deformable ROI Pooling [97] which generalized aligned RoI pooling 1037 by learning an offset for each grid and adding it to the grid center. 1038 The sub-grid start with a regular ROI Pooling layer to extract ini-1039 tial region features and the extracted features were used to regress 1040 1041 offset by an auxiliary network. Deformable ROI Pooling can automatically model the image content without being constrained by 1042 fixed receptive fields. 1043

### 1044 3.5.3. Contextual reasoning

1045 Contextual information plays an important role in object detection. Objects often tend to appear in specific environments and 1046 sometimes also coexist with other objects. For each example, birds 1047 commonly fly in the sky. Effectively using contextual information 1048 can help improve detection performance, especially for detecting 1049 1050 objects with insufficient cues (small object, occlusion etc.) Learn-1051 ing the relationship between objects with their surrounding con-1052 text can improve detector's ability to understand the scenario. For 1053 traditional object detection algorithms, there have been several ef-1054 forts exploring context [126], but for object detection based on 1055 deep learning, context has not been extensively explored. This is because convolutional networks implicitly already capture contex-1056 tual information from hierarchical feature representations. How-1057 ever, some recent efforts [1,3,3,59,106,127–131] still try to exploit 1058 contextual information. Some works [132] have even shown that in 1059 1060 some cases context information may even harm the detection per-1061 formance. In this section we review contextual reasoning for object 1062 detection from two aspects: global context and region context.

1063 *Global context reasoning* refers to learning from the context in 1064 the whole image. Unlike traditional detectors which attempt to 1065 classify specific regions in the image as objects, the idea here is 1066 to use the contextual information (i.e., information from the rest 1067 of the image) to classify a particular region of interest. For exam-1068 ple, detecting a baseball ball from an image can be challenging for a traditional detector (as it may be confused with balls from other 1069 sports); but if the contextual information from the rest of the image is used (e.g. baseball field, players, bat), it becomes easier to 1071 identify the baseball ball object. 1072

Some representative efforts include ION [51], DeepId [127] and 1073 improved version of Faster R-CNN [1]. In ION, Bell et al. used re-1074 current neural network to encode contextual information across 1075 the whole image from four directions. Ouyang et al. [127] learned 1076 a categorical score for each image which is used as contex-1077 tual features concatenated with the object detection results. He 1078 et al. [1] extracted feature embedding of the entire image and con-1079 catenate it with region features to improve detection results. In ad-1080 dition, some methods [3,59,129,133–136] exploit global contextual 1081 information via semantic segmentation. Due to precise pixel-level 1082 annotation, segmentation feature maps capture strong spatial in-1083 formation. He et al. [3] and Dai et al. [59] learn unified instance 1084 segmentation framework and optimize the detector with pixel-1085 level supervision. They jointly optimized detection and segmen-1086 tation objectives as a multi-task optimization. Though segmenta-1087 tion can significantly improve detection performance, obtaining the 1088 pixel-level annotation is very expensive. Zhao et al. [133] opti-1089 mized detectors with pseudo segmentation annotation and showed 1090 promising results. Zhang et al.'s work Detection with Enriched Se-1091 mantics (DES) [134], introduced contextual information by learn-1092 ing a segmentation mask without segentation annotations. It also 1093 jointly optimized object detection and segmentation objectives and 1094 enriched original feature map with a more discriminative feature 1095 map. 1096

Region Context Reasoning encodes contextual information sur-1097 rounding regions and learns interactions between the objects with 1098 their surrounding area. Directly modeling different locations and 1099 categories objects relations with the contextual is very challenging. 1100 Chen et al. proposed Spatial Memory Network (SMN) [130] which 1101 introduced a spatial memory based module. The spatial memory 1102 module captured instance-level contexts by assembling object in- 1103 stances back into a pseudo "image" representations which were 1104 later used for object relations reasoning. Liu et al. proposed Struc- 1105 ture Inference Net (SIN) [137] which formulated object detection as 1106 a graph inference problem by considering scene contextual infor- 1107 mation and object relationships. In SIN, each object was treated as 1108 a graph node and the relationship between different objects were 1109 regarded as graph edges. Hu et al. [138] proposed a lightweight 1110 framework relation network which formulated the interaction be- 1111 tween different objects between their appearance and image loca- 1112 tions. The new proposed framework did not need additional anno- 1113 tation and showed improvements in object detection performance. 1114 Based on Hu et al., Gu et al. [139] proposed a fully learnable ob- 1115 ject detector which proposed a general viewpoint that unified ex- 1116 isting region feature extraction methods. Their proposed method 1117 removed heuristic choices in ROI pooling methods and automati- 1118 cally select the most significant parts, including contexts beyond 1119 proposals. Another method to encode contextual information is to 1120 implicitly encode region features by adding image features sur- 1121 rounding region proposals and a large number of approaches have 1122 been proposed based on this idea [106,131,140–143]. In addition 1123 to encode features from region proposals, Gidaris et al. [131] ex- 1124 tracted features from a number of different sub-regions of the 1125 original object proposals (border regions, central regions, contex- 1126 tual regions etc.) and concatenated these features with the origi- 1127 nal region features. Similar to their method, [106] extracted local 1128 contexts by enlarging the proposal window size and concatenat- 1129 ing these features with the original ones. Zeng et al. [142] pro- 1130 posed Gated Bi-Directional CNN (GBDNet) which extracted fea- 1131 tures from multi-scale subregions. Notably, GBDNet learned a 1132 gated function to control the transmission of different region in- 1133

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1134 formation because not all contextual information is helpful for 1135 detection.

### 1136 3.5.4. Deformable feature learning

A good detector should be robust to nonrigid deformation 1137 of objects. Before the deep learning era, Deformable Part based 1138 Models (DPMs) [28] had been successfully used for object de-1139 tection. DPMs represented objects by multiple component parts 1140 1141 using a deformable coding method, making the detector robust to nonrigid object transformation. In order to enable detectors 1142 1143 based on deep learning to model deformations of object parts, many researchers have developed detection frameworks to explic-1144 itly model object parts [97,127,144,145]. DeepIDNet [127] developed 1145 a deformable-aware pooling layer to encode the deformation infor-1146 mation across different object categories. Dai et al. [97] and Zhu 1147 et al. [144] designed deformable convolutional layers which auto-1148 matically learned the auxiliary position offsets to augment infor-1149 mation sampled in regular sampling locations of the feature map. 1150

### 1151 4. Learning strategy

In contrast to image classification, object detection requires op-1152 timizing both localization and classification tasks, which makes it 1153 1154 more difficult to train robust detectors. In addition, there are several issues that need to be addressed, such as imbalance sampling, 1155 localization, acceleration etc. Thus there is a need to develop inno-1156 vative learning strategies to train effective and efficient detectors. 1157 1158 In this section, we review some of the learning strategies for object 1159 detection.

### 1160 4.1. Training stage

In this section, we review the learning strategies for training
object detectors. Specifically we discuss, data augmentation, imbalance sampling, cascade learning, localization refinement and some
other learning strategies.

### 1165 4.1.1. Data augmentation.

1166 Data augmentation is important for nearly all deep learning methods as they are often data-hungry and more training data 1167 leads to better results. In object detection, in order to increase 1168 training data as well as generate training patches with multiple vi-1169 sual properties, Horizontal flips of training images is used in train-1170 ing Faster R-CNN detector [38]. A more intensive data augmenta-1171 tion strategy is used in one-stage detectors including rotation, ran-1172 dom crops, expanding and color jittering [42,106,146]. This data 1173 augmentation strategy has shown significant improvement in de-1174 1175 tection accuracy.

### 1176 4.1.2. Imbalance sampling

In object detection, imbalance of negative and positive samples 1177 1178 is a critical issue. That is, most of the regions of interest estimated 1179 as proposals are in fact just background images. Very few of them are positive instances (or objects). This results in problem of imbal-1180 ance while training detectors. Specifically, two issues arise, which 1181 need to be addressed: class imbalance and difficulty imbalance. 1182 The class imbalance issue is that most candidate proposals belong 1183 1184 to the background and only a few of proposals contain objects. This 1185 results in the background proposals dominating the gradients dur-1186 ing training. The difficulty imbalance is closely related to the first issue, where due to the class imbalance, it becomes much easier 1187 to classify most of the background proposals easily, while the ob-1188 1189 jects become harder to classify. A variety of strategies have been developed to tackle the class imbalance issue. Two-stage detectors 1190 such as R-CNN and Fast R-CNN will first reject majority of nega-1191 1192 tive samples and keep 2000 proposals for further classification. In Fast R-CNN [38], negative samples were randomly sampled from 1193 these 2k proposals and the ratio of positive and negative was fixed 1194 as 1:3 in each mini-batch, to further reduce the adverse effects of 1195 class imbalance. Random sample can address class imbalance issue 1196 but are not able to fully utilize information from negative proposals. Some negative proposals may contain rich context information 1198 about the images, and some hard proposals can help to improve 1199 detection accuracy. To address this, Liu et al. [42] proposed hard 1200 negative sampling strategy which fixed the foreground and background ratio but sampled most difficult negative proposals for updating the model. Specifically, negative proposals with higher classification loss were selected for training. 1204

To address difficulty imbalance, most sampling strategies are 1205 based on carefully designed loss functions. For obejct detection, a 1206 *multi-class* classifier is learned over C+1 categories (C target cate- 1207 gories plus one background category). Assume the region is labeled 1208 with ground truth class *u*, and *p* is the output discrete probability 1209 distribution over C+1 classes ( $p = \{p_0, ..., p_C\}$ ). The loss function 1210 is given by: 1211

$$L_{\rm cls}(p,u) = -\log p_u \tag{9}$$

Lin et al. proposed a novel focal loss [43] which suppressed signals 1212 from easy samples. Instead of discarding all easy samples, they assigned an importance weight to each sample w.r.t its loss value 1214 as: 1215

$$L_{\rm FL} = -\alpha (1 - p_u)^{\gamma} \log(p_u) \tag{10}$$

where  $\alpha$  and  $\gamma$  were parameters to control the importance 1216 weight. The gradient signals of easy samples got suppressed which led the training process to focus more on hard proposals. Li et al. [147] adopt a similar idea from focal loss and propose a novel 1219 gradient harmonizing mechanism (GHM). The new proposed GHM 1220 not only suppressed easy proposals but also avoided negative im-1221 pact of outliers. Shrivastava et al. [148] proposed an online hard 1222 example mining strategy which was based on a similar principle 1223 as Liu et al.'s SSD [42] to automatically select hard examples for 1224 training. Different from Liu et al., online hard negative mining only 1225 considered difficulty information but ignored categorical informa- 1226 tion, which meant the ratio of foreground and background was not 1227 fixed in each mini-batch. They argued that difficult samples played 1228 a more important role than class imbalance in object detection 1229 task. 1230

### 4.1.3. Localization refinement

An object detector must provide a tight localization prediction 1232 (bbox or mask) for each object. To do this, many efforts refine the 1233 preliminary proposal prediction to improve the localization. Pre- 1234 cise localization is challenging because predictions are commonly 1235 focused on the most discriminative part of the objects, and not 1236 necessarily the region containing the object. In some scenarios, the 1237 detection algorithms are required to make high quality predictions 1238 (high IoU threshold) See Fig. 9 for an illustration of how a detec- 1239 tor may fail in a high IoU threshold regime. A general approach for 1240 localization refinement is to generate high quality proposals (See 1241 Section 3.4). In this section, we will review some other methods 1242 for localization refinement. In R-CNN framework, the L-2 auxiliary 1243 bounding box regressors were learned to refine localizations, and 1244 in Fast R-CNN, the smooth L1 regressors were learned via an end- 1245 to-end training scheme as: 1246

$$L_{\text{reg}}(t^c, \nu) = \sum_{i \in \{x, y, w, h\}} \text{SmoothL1}(t_i^c - \nu_i)$$
(11)

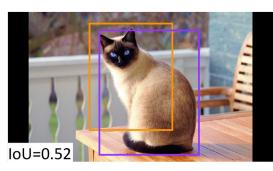
SmoothL1(x) = 
$$\begin{cases} 0.5x^2 & \text{if } |x| < 1\\ |x| - 0.5 & \text{otherwise} \end{cases}$$
 (12)

where the predicted offset is given by  $t^c = (t_x^c, t_y^c, t_w^c, t_h^c)$  for each 1248 target class, and  $\nu$  denotes ground truth of object bounding 1249

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**Fig. 9.** Example of failure case of detection in high IoU threshold. Purple box is ground truth and yellow box is prediction. In low IoU requirement scenario, this prediction is correct while in high IoU threshold, it's a false positive due to insufficient overlap with objects. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

boxes( $v = (v_x, v_y, v_w, v_h)$ ). x, y, w, h denote bounding box center, width and height respectively.

Beyond the default localization refinement, some methods 1252 learn auxiliary models to further refine localizations. Gidaris 1253 1254 et al. [131] introduced an iterative bounding box regression 1255 method, where an R-CNN was applied to refine learned predictions. Here the predictions were refined multiple times. Gi-1256 daris et al. [149] proposed LocNet which modeled the distribution 1257 1258 of each bounding box and refined the learned predictions. Both these approaches required a separate component in the detection 1259 pipeline, and prevent joint optimization. 1260

Some other efforts [150,151] focus on designing a unified 1261 framework with modified objective functions. In MultiPath Net-1262 work, Zagoruyko et al. [150] developed an ensemble of classifiers 1263 1264 which were optimized with an integral loss targeting various quality metrics. Each classifier was optimized for a specific IoU thresh-1265 old and the final prediction results were merged from these clas-1266 sifiers. Tychsen et al. proposed Fitness-NMS [152] which learned 1267 1268 novel fitness score function of IoU between proposals and objects. They argued that existing detectors aimed to find qualified predic-1269 tions instead of best predictions and thus highly quality and low 1270 quality proposals received equal importance. Fitness-IoU assigned 1271 1272 higher importance to highly overlapped proposals. They also derived a bounding box regression loss based on a set of IoU up-1273 1274 per bounds to maximum the IoU of predictions with objects. In-1275 spired by CornerNet [63] and DeNet [94], Lu et al. [151] proposed 1276 a Grid R-CNN which replaced linear bounding box regressor with 1277 the principle of locating corner keypoints corner-based mechanism.

### 1278 4.1.4. Cascade learning

1279 Cascade learning is a coarse-to-fine learning strategy which 1280 collects information from the output of the given classifiers to build stronger classifiers in a cascaded manner. Cascade learning 1281 1282 strategy was first used by Viola and Jones [17] to train the ro-1283 bust face detectors. In their models, a lightweight detector first rejects the majority easy negatives and feeds hard proposals to 1284 train detectors in next stage. For deep learning based detection 1285 algorithms, Yang et al. [153] proposed CRAFT (Cascade Region-1286 proposal-network And FasT-rcnn) which learned RPN and region 1287 1288 classifiers with a cascaded learning strategy. CRAFTS first learned a standard RPN followed by a two-class Fast RCNN which rejected 1289 1290 the majority easy negatives. The remaining samples were used to build the cascade region classifiers which consisted of two Fast RC-1291 NNs. Yang et al. [100] introduced layer-wise cascade classifiers for 1292 different scale objects in different layers. Multiple classifiers were 1293 placed on different feature maps and classifiers on shallower lay-1294 ers would reject easy negatives. The remaining samples would be 1295 fed into deeper layers for classification. RefineDet [92] and Cas-1296

cade R-CNN [49] utilized cascade learning methods in refining ob- 1297 ject locations. They built multi-stage bounding box regressors and 1298 bounding box predictions were refined in each stage trained with 1299 different quality metrics. Cheng et al. [132] observed the failure 1300 cases of Faster RCNN, and noticed that even though the localiza- 1301 tion of objects was good, there were several classification errors. 1302 They attributed this to sub-optimal feature representation due to 1303 sharing of features and joint multi-task optimization, for classifi- 1304 cation and regression; and they also argued that the large recep- 1305 tive field of Faster RCNN induce too much noise in the detection 1306 process. They found that vanilla RCNN was robust to these issues. 1307 Thus, they built a cascade detection system based on Faster RCNN 1308 and RCNN to complement each other. Specifically, A set of initial 1309 predictions were obtained from a well trained Faster RCNN, and 1310 these predictions were used to train RCNN to refine the results. 1311

### 4.1.5. Others

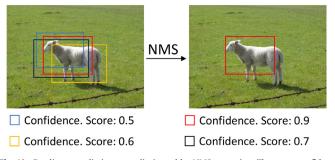
There are some other learning strategies which offer interest-1313ing directions, but have not yet been extensively explored. We split1314these approaches into four categories: adversarial learning, training1315from scratch and knowledge distillation.1316

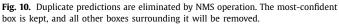
Adversarial learning. Adversarial learning has shown signif- 1317 icant advances in generative models. The most famous work 1318 applying adversarial learning is generative adversarial network 1319 (GAN) [154] where a generator is competing with a discriminator. 1320 The generator tries to model data distribution by generating fake 1321 images using a noise vector input and use these fake images to 1322 confuse the discriminator, while the discriminator competes with 1323 the generator to identify the real images from fake images. GAN 1324 and its variants [155-157] have shown effectiveness in many do- 1325 mains and have also found applications in object detection. Li 1326 et al. [158] proposed a new framework Perceptual GAN for small 1327 object detection. The learnable generator learned high-resolution 1328 feature representations of small objects via an adversarial scheme. 1329 Specifically, its generator learned to transfer low-resolution small 1330 region features into high-resolution features and competed with 1331 the discriminator which identified real high-resolution features. Fi- 1332 nally the generator learned to generate high quality features for 1333 small objects. Wang et al. [159] proposed A-Fast-R-CNN which was 1334 trained by generated adversarial examples. They argued the diffi- 1335 cult samples were on long tail so they introduced two novel blocks 1336 which automatically generated features with occlusion and defor- 1337 mation. Specifically, a learned mask was generated on region fea- 1338 tures followed by region classifiers. In this case, the detectors could 1339 receive more adversarial examples and thus become more robust. 1340

Training from scratch. Modern object detectors heavily rely on 1341 pre-trained classification models on ImageNet, however, the bias of 1342 loss functions and data distribution between classification and de- 1343 tection can have an adversarial impact on the performance. Fine- 1344 tuning on detection task can relieve this issue, but cannot fully get 1345 rid of the bias. Besides, transferring a classification model for de- 1346 tection in a new domain can lead to more challenges (from RGB 1347 to MRI data etc.). Due to these reasons, there is a need to train 1348 detectors from scratch, instead of relying on pretrained models. 1349 The main difficulty of training detectors from scratch is the train- 1350 ing data of object detection is often insufficient and may lead to 1351 overfitting. Different from image classification, object detection re- 1352 quires bounding box level annotations and thus, annotating a large 1353 scale detection dataset requires much more effort and time (Ima- 1354 geNet has 1000 categories for image classification while only 200 1355 of them have detection annotations). 1356

There are some works [107,160,161] exploring training object 1357 detectors from scratch. Shen et al. [107] first proposed a novel 1358 framework DSOD (Deeply Supervised Object Detectors) to train 1359 detectors from scratch. They argued deep supervision with a 1360 densely connected network structure could significantly reduce op-1361







timization difficulties. Based on DSOD, Shen et al. [162] proposed 1362 a gated recurrent feature pyramid which dynamically adjusted 1363 supervision intensities of intermediate layers for objects with dif-1364 1365 ferent scales. They defined a recurrent feature pyramid structure to squeeze both spatial and semantic information into a single pre-1366 diction layer, which further reduced parameter numbers leading 1367 to faster convergence. In addition, the gate-control structure on 1368 1369 feature pyramids adaptively adjusted the supervision at different 1370 scales based on the size of objects. Their method was more pow-1371 erful than original DSOD. However, later He et al. [160] validated 1372 the difficulty of training detectors from scratch on MSCOCO and 1373 found that the vanilla detectors could obtain a competitive perfor-1374 mance with at least 10K annotated images. Their findings proved no specific structure was required for training from scratch which 1375 contradicted the previous work. 1376

Knowledge distillation. Knowledge distillation is a training strat-1377 1378 egy which distills the knowledge in an ensemble of models into 1379 a single model via teacher-student training scheme. This learning 1380 strategy was first used in image classification [163]. In object 1381 detection, some works [132,164] also investigate this training 1382 scheme to improve detection performance. Li et al. [164] proposed a light weight detector whose optimization was carefully guided 1383 by a heavy but powerful detector. This light detector could achieve 1384 comparable detection accuracy by distilling knowledge from 1385 the heavy one, meanwhile having faster inference speed. Cheng 1386 et al. [132] proposed a Faster R-CNN based detector which was 1387 1388 optimized via teacher-student training scheme. An R-CNN model is used as teacher network to guide the training process. Their 1389 framework showed improvement in detection accuracy compared 1390 1391 with traditional single model optimization strategy.

1392 4.2. Testing stage

Object detection algorithms make a dense set of predictions 1393 and thus these predictions cannot be directly used for evaluation 1394 1395 due to heavy duplication. In addition, some other learning strategies are required to further improve the detection accuracy. These 1396 strategies improve the quality of prediction or accelerate the infer-1397 ence speed. In this section, we introduce these strategies in testing 1398 1399 stage including duplicate removal, model acceleration and other effective techniques. 1400

### 1401 4.2.1. Duplicate removal

Non maximum suppression (NMS) is an integral part of ob-1402 1403 ject detection to remove duplicate false positive predictions (See 1404 Fig. 10). Object detection algorithms make a dense set of predictions with several duplicate predictions. For one-stage detection al-1405 gorithms which generate a dense set of candidate proposals such 1406 1407 as SSD [42] or DSSD (Deconvolutional Single Shot Detector) [112], the proposals surrounding the same object may have similar confi-1408 dence scores, leading to false positives. For two-stage detection al-1409 1410 gorithms which generates a sparse set of proposals, the bounding

box regressors will pull these proposals close to the same object 1411 and thus lead to the same problem. The duplicate predictions are 1412 regarded as false positives and will receive penalties in evaluation, 1413 so NMS is needed to remove these duplicate predictions. Specifi-1414 cally, for each category, the prediction boxes are sorted according 1415 to the confidence score and the box with highest score is selected. 1416 This box is denoted as M. Then IoU of other boxes with M is cal-1417 culated, and if the IoU value is larger than a predefined threshold 1418  $\Omega_{\text{test}}$ , these boxes will are removed. This process is repeated for all 1419 remaining predictions. More formally, the confidence score of box 1420 *B* which overlaps with *M* larger than  $\Omega_{\text{test}}$  will be set to zero: 1421

$$Score_{B} = \begin{cases} Score_{B} & IoU(B, M) < \Omega_{test} \\ 0 & IoU(B, M) \ge \Omega_{test} \end{cases}$$
(13)

However, if an object just lies within  $\Omega_{\text{test}}$  of *M*, NMS will result 1422 in a missing prediction, and this scenario is very common in clustered object detection. Navaneeth et al. [165] introduced a new algorithm Soft-NMS to address this issue. Instead of directly eliminating the prediction *B*, Soft-NMS decayed the confidence score of *B* as a continuous function *F*(*F* can be linear function or Gaussian function) of its overlaps with *M*. This is given by: 1428

$$Score_{B} = \begin{cases} Score_{B} & IoU(B, M) < \Omega_{test} \\ F(IoU(B, M)) & IoU(B, M) \ge \Omega_{test} \end{cases}$$
(14)

Soft-NMS avoided eliminating prediction of clustered objects and 1429 showed improvement in many common benchmarks. Hosong et al 1430 [166]. introduced a network architecture designed to perform NMS 1431 based on confidence scores and bounding boxes, which was opti- 1432 mized separately from detector training in a supervised way. They 1433 argued the reason for duplicate predictions was that the detector 1434 deliberately encouraged multiple high score detections per object 1435 instead of rewarding one high score. Based on this, they designed 1436 the network following two motivations: (i) a loss penalizing double 1437 detections to push detectors to predict exactly one precise detec- 1438 tion per object; (ii) joint processing of detections nearby to give 1439 the detector information whether an object is detected more than 1440 once. The new proposed model did not discard detections but in- 1441 stead reformulated NMS as a re-scoring task that sought to de- 1442 crease the score of detections that cover objects that already have 1443 been detected. 1444

### 4.2.2. Model acceleration

Application of object detection for real world application re- 1446 quires the algorithms to function in an efficient manner. Thus, 1447 evaluating detectors on efficiency metrics is important. Although 1448 current state-of-the-art algorithms [1,167] can achieve very strong 1449 results on public datasets, their inference speeds make it difficult 1450 to apply them into real applications. In this section we review sev- 1451 eral works on accelerating detectors. Two-stage detectors are usu- 1452 ally slower than one-stage detectors because they have two stages 1453 - one proposal generation and one region classification, which 1454 makes them computationally more time consuming than one-stage 1455 detectors which directly use one network for both proposal gener- 1456 ation and region classification. R-FCN [52] built spatially-sensitive 1457 feature maps and extracted features with position sensitive ROI 1458 Pooling to share computation costs. However, the number of chan- 1459 nels of spatially-sensitive feature maps significantly increased with 1460 the number of categories. Li et al. [168] proposed a new frame- 1461 work Light Head R-CNN which significantly reduced the number 1462 of channels in the final feature map (from 1024 to 16) instead of 1463 sharing all computation. Thus, though computation was not shared 1464 across regions, but the cost could be neglected. 1465

From the aspect of backbone architecture, a major computation cost in object detection is feature extraction [34]. A simple 1467 idea to accelerate detection speed is to replace the detection backbone with a more efficient backbone, e.g., MobileNet [74,169] was 1469

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1470 an efficient CNN model with depth-wise convolution layers which 1471 was also adopted into many works such as [170] and [171]. 1472 PVANet [104] was proposed as a new network structure with 1473 CReLu [172] layer to reduce non-linear computation and accelerated inference speed. Another approach is to optimize models off-1474 line, such as model compression and quantization [173-179] on 1475 the learned models. Finally, NVIDIA Corporation<sup>2</sup> released an ac-1476 celeration toolkit TensorRT<sup>3</sup> which optimized the computation of 1477 1478 learned models for deployment and thus significantly sped up the inference. 1479

### 1480 4.2.3. Others

1481 Other learning strategies in testing stage mainly comprise the transformation of input image to improve the detection accuracy. 1482 Image pyramids [1,92] are a widely used technique to improve de-1483 tection results, which build a hierarchical image set at different 1484 scales and make predictions on all of these images. The final detec-1485 1486 tion results are merged from the predictions of each image. Zhang et al. [87,92] used a more extensive image pyramid structure to 1487 1488 handle different scale objects. They resized the testing image to 1489 different scales and each scale was responsible for a certain scale 1490 range of objects. Horizontal Flipping [3,92] was also used in the 1491 testing stage and also showed improvement. These learning strategies largely improved the capability of detector to handle different 1492 scale objects and thus were widely used in public detection com-1493 petitions. However, they also increase computation cost and thus 1494 1495 were not suitable for real world applications.

### 1496 5. Applications

Object detection is a fundamental computer vision task and
there are many real world applications based on this task. Different from generic object detection, these real world applications
commonly have their own specific properties and thus carefullydesigned detection algorithms are required. In this section, we will
introduce several real world applications such as face detection
and pedestrian detection.

### 1504 5.1. Face detection

Face detection is a classical computer vision problem to detect 1505 human faces in the images, which is often the first step towards 1506 many real-world applications with human beings, such as face ver-1507 1508 ification, face alignment and face recognition. There are some critical differences between face detection and generic detection: (i) 1509 the range of scale for objects in face detection is much larger than 1510 objects in generic detection. Moreover occlusion and blurred cases 1511 are more common in face detection; (ii) Face objects contain strong 1512 structural information, and there is only one target category in face 1513 1514 detection. Considering these properties of face detection, directly applying generic detection algorithms is not an optimal solution as 1515 there could be some priors that can exploited to improve face de-1516 tection. 1517

1518 In early stages of research before the deep learning era, face detection [20,180-182] was mainly based on sliding windows, and 1519 dense image grids were encoded by hand-crafted features followed 1520 1521 by training classifiers to find and locate objects. Notably, Viola and Jones [20] proposed a pioneering cascaded classifiers using Ad-1522 aBoost with Haar features for face detection and obtained excel-1523 1524 lent performance with high real time prediction speed. After the 1525 progresses of deep learning in image classification, face detectors 1526 based on deep learning significantly outperformed traditional face detectors [183-187]. 1527

Current face detection algorithms based on deep learning are 1528 mainly extended from generic detection frameworks such as Fast 1529 R-CNN and SSD. These algorithms focus more on learning robust 1530 feature representations. In order to handle extreme scale variance, 1531 multi-scale feature learning methods discussed before have been 1532 widely used in face detection. Sun et al. [183] proposed a Fast 1533 R-CNN based framework which integrated multi-scale features for 1534 prediction and converted the resulting detection bounding boxes 1535 into ellipses as the regions of human faces are more elliptical 1536 than rectangular. Zhang et al. [87] proposed one-stage S3FD which 1537 found faces on different feature maps to detect faces at a large 1538 range of scales. They made predictions on larger feature maps 1539 to capture small-scale face information. Notably, a set of anchors 1540 were carefully designed according to empirical receptive fields 1541 and thus provided a better match to the faces. Based on S3FD, 1542 Zhang et al. [188] proposed a novel network structure to capture 1543 multi-scale features in different stages. The new proposed feature 1544 agglomerate structure integrated features at different scales in a 1545 hierarchical way. Moreover, a hierarchical loss was proposed to 1546 reduce the training difficulties. Single Stage Headless Face Detector 1547 (SSH) [189] was another one-stage face detector which combined 1548 different scale features for prediction. Hu et al. [99] gave a detailed 1549 analysis of small face detection and proposed a light weight face 1550 detector consisting of multiple RPNs, each of which was respon- 1551 sible for a certain range of scales. Their method could effectively 1552 handle face scale variance but it was slow for real world usage. 1553 Unlike this method, Hao et al. [190] proposed a Scale Aware Face 1554 network which addresses scale issues without incurring significant 1555 computation costs. They learned a scale aware network which 1556 modeled the scale distribution of faces in a given image and 1557 guided zoom-in or zoom-out operations to make sure that the 1558 faces were in desirable scale. The resized image was fed into a 1559 single scale light weight face detector. Wang et al. [191] followed 1560 RetinaNet [43] and utilized more dense anchors to handle faces 1561 in a large range of scales. Moreover, they proposed an attention 1562 function to account for context information, and to highlight the 1563 discriminative features. Zhang et al. [192] proposed a deep cas- 1564 caded multi-task face detector with cascaded structure (MTCNN). 1565 MTCNN had three stages of carefully designed CNN models to 1566 predict faces in a coarse-to-fine style. Further, they also proposed 1567 a new online hard negative mining strategy to improve the result. 1568 Samangouei et al. [193] proposed a Face MegNet which allowed 1569 information flow of small faces without any skip connections by 1570 placing a set of deconvolution layers before RPN and ROI Pooling 1571 to build up finer face representations. 1572

In addition to multi-scale feature learning, some frameworks 1573 were focused on contextual information. Face objects have strong 1574 physical relationships with the surrounding contexts (commonly 1575 appearing with human bodies) and thus encoding contextual 1576 information became an effective way to improve detection accu- 1577 racy. Zhang et al. [194] proposed FDNet based on ResNet with 1578 larger deformable convolutional kernels to capture image context. 1579 Zhu et al. [195] proposed a Contextual Multi-Scale Region-based 1580 Convolution Neural Network (CMS-RCNN) in which multi-scale in- 1581 formation was grouped both in region proposal and ROI detection 1582 to deal with faces at various range of scale. In addition, contextual 1583 information around faces is also considered in training detectors. 1584 Notably, Tang et al. [185] proposed a state-of-the-art context 1585 assisted single shot face detector, named PyramidBox to handle 1586 the hard face detection problem. Observing the importance of the 1587 context, they improved the utilization of contextual information 1588 in the following three aspects: (i) first, a novel context anchor 1589 was designed to supervise high-level contextual feature learning 1590 by a semi-supervised method, dubbed as PyramidAnchors; (ii) the 1591 Low-level Feature Pyramid Network was developed to combine 1592 adequate high-level context semantic features and low-level facial 1593

<sup>&</sup>lt;sup>2</sup> https://www.nvidia.com/en-us/.

<sup>&</sup>lt;sup>3</sup> https://developer.nvidia.com/tensorrt.

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1594 features together, which also allowed the PyramidBox to predict 1595 faces at all scales in a single shot; and (iii) they introduced a 1596 context sensitive structure to increase the capacity of prediction network to improve the final accuracy of output. In addition, they 1597 used the method of data-anchor-sampling to augment the training 1598 samples across different scales, which increased the diversity 1599 of training data for smaller faces. Yu et al. [196] introduced a 1600 context pyramid maxout mechanism to explore image contexts 1601 1602 and devised an efficient anchor based cascade framework for face detection which optimized anchor-based detector in cascaded 1603 1604 manner. Zhang et al. [197] proposed a two-stream contextual CNN 1605 to adaptively capture body part information. In addition, they 1606 proposed to filter easy non-face regions in the shallow layers and 1607 leave difficult samples to deeper layers.

Beyond efforts on designing scale-robust or context-assistant 1608 detectors, Wang et al. [191] developed a framework from the 1609 perspective of loss function design. Based on vanilla Faster R-1610 CNN framework, they replaced original softmax loss with a cen-1611 ter loss which encouraged detectors to reduce the large intra-class 1612 variance in face detection. They explored multiple technologies 1613 in improving Faster R-CNN such as fixed-ratio online hard neg-1614 ative mining, multi-scale training and multi-scale testing, which 1615 1616 made vanilla Faster R-CNN adaptable to face detection. Later, Wang 1617 et al. [198] proposed Face R-FCN which was based on vanilla R-1618 FCN. Face R-FCN distinguished the contribution of different facial parts and introduced a novel position-sensitive average pool-1619 ing to re-weight the response on final score maps. This method 1620 1621 achieved state-of-the-art results on many public benchmarks such as FDDB [199] and WIDER FACE [200]. 1622

### 1623 5.2. Pedestrian detection

Pedestrian detection is an essential and significant task in any 1624 1625 intelligent video surveillance system. Different from generic object detection, there are some properties of pedestrian detection differ-1626 ent from generic object detection: (i) Pedestrian objects are well 1627 1628 structured objects with nearly fixed aspect ratios (about 1.5), but 1629 they also lie at a large range of scales; (ii) Pedestrian detection is 1630 a real world application, and hence the challenges such as crowding, occlusion and blurring are commonly exhibited. For example, 1631 in the CityPersons dataset, there are a total of 3157 pedestrian 1632 annotations in the validation subset, among which 48.8% overlap 1633 with another annotated pedestrian with Intersection over Union 1634 (IoU) above 0.1. Moreover, 26.4% of all pedestrians have consid-1635 erable overlap with another annotated pedestrian with IoU above 1636 0.3. The highly frequent crowd occlusion harms the performance 1637 of pedestrian detectors; (iii) There are more hard negative samples 1638 1639 (such as traffic light, Mailbox etc.) in pedestrian detection due to complicated contexts. 1640

1641 Before the deep learning era, pedestrian detection algorithms 1642 [19,201–204] were mainly extended from Viola Jones frame-1643 works [20] by exploiting Integral Channel Features with a sliding 1644 window strategy to locate objects, followed by region classifiers such as SVMs. The early works were mainly focused on designing 1645 robust feature descriptors for classification. For example, Dalal and 1646 Triggs [19] proposed the histograms of oriented gradient (HOG) 1647 descriptors, while Paisitkriangkrai et al. [204] designed a feature 1648 1649 descriptor based on low-level visual cues and spatial pooling fea-1650 tures. These methods show promising results on pedestrian detection benchmarks but were mainly based on hand-crafted features. 1651

1652 Deep learning based methods for pedestrian detection 1653 [8–10,205–211] showed excellent performance and achieved state-1654 of-the-art results on public benchmarks. Angelova et al [10] pro-1655 posed a real-time pedestrian detection framework using a cascade 1656 of deep convolutional networks. In their work, a large number of 1657 easy negatives were rejected by a tiny model and the remaining hard proposals were then classified by a large deep networks. 1658 Zhang et al. [212] proposed a decision tree based framework. In 1659 their method, multiscale feature maps were used to extract pedes- 1660 trian features, which were later fed into boosted decision trees for 1661 classification. In contrast to the FC layers, boosted decision trees 1662 applied a bootstrapping strategy for mining hard negative samples 1663 and achieved a better performance. Also to reduce the impact of 1664 large variance in scales, Li et al. [8] proposed Scale-aware Fast 1665 R-CNN (SAF RCNN) which inserted multiple built-in networks 1666 into the whole detection framework. The proposed SAF RCNN 1667 detected different scale pedestrian instances using different sub-1668 nets. Further, Yang et al. [100] inserted Scale Dependent Pooling 1669 (SDP) and Cascaded Rejection Classifiers (CRC) into Fast RCNN 1670 to handle pedestrians at different scales. According to the height 1671 of the instances, SDP extracted region features from a suitable 1672 scale feature map, while CRC rejected easy negative samples in 1673 shallower layers. Wang et al. [213] proposed a novel Repulsion 1674 Loss to detect pedestrians in a crowd. They argued that detecting a 1675 pedestrian in a crowd made it very sensitive to the NMS threshold, 1676 which led to more false positives and missing objects. The new 1677 proposed repulsion loss pushed the proposals into their target 1678 objects but also pulled them away from other objects and their 1679 target proposals. Based on their idea, Zhang et al. [214] proposed 1680 an Occlusion-aware R-CNN (OR-CNN) which was optimized by 1681 an Aggression Loss. The new loss function encouraged the pro- 1682 posals to be close to the objects and other proposals with the 1683 same targeted proposals. Mao et al. [215] claimed that properly 1684 aggregating extra features into pedestrian detector could boost the 1685 detection accuracy. In their paper, they explored different kinds 1686 of extra features useful in improving accuracy and proposed a 1687 new method to use these features. The new proposed component 1688 - HyperLearner aggregated extra features into a vanilla DCNN 1689 detector in a jointly optimized fashion and no extra input was 1690 required for the inference stage. 1691

For pedestrian detection, one of the most significant challenges 1692 is to handle occlusion [214,216-226]. A straightforward method is 1693 to use part-based models which learn a series of part detectors 1694 and integrate the results of part detectors to locate and classify ob- 1695 jects. Tian et al. [216] proposed DeepParts which consisted of mul- 1696 tiple part-based detectors. During training, the important pedes- 1697 trian parts were automatically selected from a part pool which was 1698 composed of parts of the human body (at different scales), and for 1699 each selected part, a detector was learned to handle occlusions. To 1700 integrate the inaccurate scores of part-based models, Ouyang and 1701 Wang [223] proposed a framework which modeled visible parts as 1702 hidden variables in training the models. In their work, the visible 1703 relationship of overlapping parts were learned by discriminative 1704 deep models, instead of being manually defined or even being as- 1705 sumed independent. Later, Ouyang et al. [225] addressed this issue 1706 from another aspect. They proposed a mixture network to capture 1707 unique visual information which was formed by crowded pedes- 1708 trians. To enhance the final predictions of single-pedestrian detec- 1709 tors, a probabilistic framework was learned to model the relation- 1710 ship between the configurations estimated by single-pedestrian 1711 and multi-pedestrian detectors. Zhang et al. [214] proposed an 1712 occlusion-aware ROI Pooling layer which integrated the prior struc- 1713 ture information of pedestrian with visibility prediction into the 1714 final feature representations. The original region was divided into 1715 five parts and for each part, a sub-network enhanced the original 1716 region feature via a learned visibility score for better representa- 1717 tions. Zhou et al. [222] proposed Bi-box which simultaneously es- 1718 timated pedestrian detection as well as visible parts by regressing 1719 two bounding boxes, one for the full body and the other for visible 1720 part. In addition, a new positive-instance sampling criterion was 1721 proposed to bias positive training examples with large visible area, 1722 which showed effectiveness in training occlusion-aware detectors. 1723

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Fig. 11. Some examples of Pascal VOC, MSCOCO, Open Images and LVIS.

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Pascal VOC

MSCOCO

Open Images

LVIS

### 1724 5.3. Others

There are some other real applications with object detection techniques, such as logo detection and video object detection.

Logo detection is an important research topic in e-commerce 1727 systems. Compared to generic detection, logo instance is much 1728 smaller with strong non-rigid transformation. Further, there are 1729 few logo detection baselines available. To address this issue, Su 1730 et al. [15] adopted the learning principle of webly data learning 1731 1732 which automatically mined information from noisy web images and learns models with limited annotated data. Su et al. [14] de-1733 scribed an image synthesising method to successfully learn a de-1734 tector with limited logo instances. Hoi et al. [13] collected a large 1735 1736 scale logo dataset from an e-commerce website and conducted a comprehensive analysis on the problem logo detection. 1737

Existing detection algorithms are mainly designed for still im-1738 ages and are suboptimal for directly applying in videos for ob-1739 1740 ject detection. To detect objects in videos, there are two ma-1741 jor differences from generic detection: temporal and contextual 1742 information. The location and appearance of objects in video 1743 should be temporally consistent between adjacent frames. More-1744 over, a video consists of hundreds of frames and thus contains far richer contextual information compared to a single still im-1745 1746 age. Han et al. [54] proposed a Seq-NMS which associates de-1747 tection results of still images into sequences. Boxes of the same sequence are re-scored to the average score across frames, and 1748 other boxes along the sequence are suppressed by NMS. Kang 1749 et al. proposed Tubelets with Convolutional Neural Networks (T-1750 1751 CNN) [53] which was extended from Faster RCNN and incorporated the temporal and contextual information from tubelets (box 1752 sequence over time). T-CNN propagated the detection results to the 1753 adjacent frames by optical flow, and generated tubelets by apply-1754 ing tracking algorithms from high-confidence bounding boxes. The 1755 1756 boxes along the tubelets were re-scored based on tubelets classifi-1757 cation.

There are also many other real-world applications based on object detection such as vehicle detection [227–229], traffic-sign detection [230,231] and skeleton detection [232,233].

### 1761 6. Detection benchmarks

In this section we will show some common benchmarks of
generic object detection, face detection and pedestrian detection.
We will first present some widely used datasets for each task and
then introduce the evaluation metrics.

1766 6.1. Generic detection benchmarks

*Pascal VOC2007* [29] is a mid scale dataset for object detection
with 20 categories. There are three image splits in VOC2007: training, validation and test with 2501, 2510 and 5011 images respectively.

*Pascal VOC2012* [29] is a mid scale dataset for object detection 1771 which shares the same 20 categories with Pascal VOC2007. There 1772 are three image splits in VOC2012: training, validation and test 1773 with 5717, 5823 and 10991 images respectively. The annotation information of VOC2012 test set is not available. 1775

MSCOCO [86] is a large scale dataset for with 80 categories. 1776 There are three image splits in MSCOCO: training, validation and 1777 test with 118287, 5000 and 40,670 images respectively. The annotation information of MSCOCO test set is not available. 1779

*Open Images* [234] contains 1.9M images with 15M objects of 1780 600 categories. The 500 most frequent categories are used to evaluate detection benchmarks, and more than 70% of these categories 1782 have over 1000 training samples.

*LVIS* [235] is a new collected benchmark with 164,000 images 1784 and 1000+ categories. It is a new dataset without any existing 1785 results so we leave the details of LVIS in future work section 1786 (Section 9). 1787

*ImageNet* [37] is also a important dataset with 200 categories. 1788 However, the scale of ImageNet is huge and the object scale range is similar to VOC datasets, so it is not a commonly used benchmarks for detection algorithms. 1791

Evaluation metrics: The details of evaluation metrics are shown 1792 in Tab. 1, both detection accuracy and inference speed are used 1793 to evaluate detection algorithms. For detection accuracy, mean 1794 Average Precision (mAP) is used as evaluation metric for all these 1795 challenges. The mAP is the mean value of AP, which is calculated 1796 separately for each class based on recall and precision. Assume the 1797 detector returns a set of predictions, we sample top  $\gamma$  predictions 1798 by confidence in decreasing order, which is denoted as  $D_{\gamma}$ . Next 1799 we calculate the number of true positive  $(TP_{\gamma})$  and false positive 1800  $(FP_{\gamma})$  from sampled  $D_{\gamma}$  by the metric introduced in Section 2. 1801 Based on TP<sub> $\gamma$ </sub> and FP<sub> $\gamma$ </sub>, recall (R<sub> $\gamma$ </sub>) and precision (P<sub> $\gamma$ </sub>) are easy 1802 to obtain. AP is the region area under the curve of precision and 1803 recall, which is also easy to compute by varying the value of 1804 parameter  $\gamma$ . Finally mAP is computed by averaging the value of 1805 AP across all classes. For VOC2012, VOC2007 and ImageNet, IoU 1806 threshold of mAP is set to 0.5, and for MSCOCO, more comprehen- 1807 sive evaluation metrics are applied. There are six evaluation scores 1808 which demonstrates different capability of detection algorithms, 1809 including performance on different IoU thresholds and on differ- 1810 ent scale objects. Some examples of listed datasets (Pascal VOC, 1811 MSCOCO, Open Images and LVIS) are shown in Fig. 11. 1812

### 6.2. Face detection benchmarks

1813

In this section, we introduce several widely used face detection 1814 datasets (WIDER FACE, FDDB and Pascal Face) and the commonly 1815 used evaluation metrics. 1816

*WIDER FACE* [200]. WIDER FACE has totally 32,203 images with 1817 about 400 k faces for a large range of scales. It has three subsets: 1818 40% for training, 10% for validation, and 50% for test. The annotations of training and validation sets are online available. According 1820

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### Table 1

Summary of common evaluation metrics for various detection tasks including generic object detection, face detection and pedestrian detection.

Alias	Meaning	Definition and description						
FPS	Frame per second	The number of images processed per second.						
Ω	IoU threshold	The IoU threshold to evaluate localization.						
Dγ	All Predictions	Top $\gamma$ predictions returned by the detectors by confidence in decreasing order.						
TPγ	True Positive	Correct predictions from sampled predictions $D_{\gamma}$ .						
$FP_{\gamma}$	False Positive		sampled predictions $D_{\gamma}$ .					
Pγ	Precision		The fraction of TP <sub><math>\gamma</math></sub> out of D <sub><math>\gamma</math></sub> .					
Rγ	Recall		it of all positive samples.					
AP	Average Precision		ve of $R_{\gamma}$ and $P_{\gamma}$ by varying the value of parameter $\gamma$ .					
mAP	mean AP	Average score of AP a						
TPR	True Positive Rate		e rate over false positives.					
FPPI	FP Per Image	The fraction of false positive for each image.						
MR	log-average missing rate	Average miss rate ove	r different FPPI rates evenly spaced in log-space					
Generic Object	t Detection							
mAP	mean Average Precision	VOC2007	mAP at 0.50 IoU threshold over all 20 classes.					
		VOC2012	mAP at 0.50 IoU threshold over all 20 classes.					
		OpenImages	mAP at 0.50 IoU threshold over 500 most frequent classes.					
		MSCOCO	<ul> <li>AP<sub>coco</sub>: mAP averaged over ten Ω: {0.5: 0.05: 0.95};</li> </ul>					
			• AP <sub>50</sub> : mAP at 0.50 IoU threshold;					
			• AP <sub>75</sub> : mAP at 0.75 IoU threshold;					
			• AP <sub>S</sub> : AP <sub>coco</sub> for small objects of area smaller than 32 <sup>2</sup> ;					
			• AP <sub>M</sub> : AP <sub>coco</sub> for objects of area between $32^2$ and $96^2$ ;					
			• $AP_L$ : $AP_{coco}$ for large objects of area bigger than 96 <sup>2</sup> ;					
Face detection								
mAP	mean Average Precision	Pascal Face	mAP at 0.50 IoU threshold.					
		AFW	mAP at 0.50 IoU threshold.					
		WIDER FACE	<ul> <li>mAP<sub>easy</sub>: mAP for easy level faces;</li> </ul>					
			<ul> <li>mAP<sub>mid</sub>: mAP for mid level faces;</li> </ul>					
			<ul> <li>mAP<sub>hard</sub>: mAP for hard level faces;</li> </ul>					
TPR	True Positive Rate	FDDB	<ul> <li>TPR<sub>dis</sub> with 1k FP at 0.50 IoU threshold, with bbox level.</li> </ul>					
			• TPR <sub>cont</sub> with 1k FP at 0.50 IoU threshold, with eclipse level.					
Pedestrian Det	tection							
mAP	mean Average Precision	KITTI	• mAP <sub>easy</sub> : mAP for easy level pedestrians;					
			<ul> <li>mAP<sub>mid</sub>: mAP for mid level pedestrians;</li> </ul>					
			<ul> <li>mAP<sub>hard</sub>: mAP for hard level pedestrians;</li> </ul>					
			MR: ranging from $1e^{-2}$ to 100 FPPI					
MR	log-average miss rate	CityPersons						
MR	log-average miss rate	CityPersons Caltech	MR: ranging from $1e^{-2}$ to $1e^{0}$ FPPI					
MR	log-average miss rate							

to the difficulty of detection tasks, it has three splits: Easy, Mediumand Hard.

*FDDB* [199]. The Face Detection Data set and Benchmark (FDDB)
 is a well-known benchmark with 5171 faces in 2845 images. Commonly face detectors will first be trained on a large scale dataset
 (WIDERFACE etc.) and tested on FDDB.

PASCAL FACE [29]. This dataset was collected from PASCAL person layout test set, with 1335 labeled faces in 851 images. Similar to FDDB, it's commonly used as test set only.

*Evaluation Metrics.* As Table 1 shown, the evaluation metric for WIDER FACE and PASCAL FACE is mean average precision (mAP) with IoU threshold as 0.5, and for WIDER FACE the results of each difficulty level will be reported. For FDDB, true positive rate (TPR) at 1k false positives are used for evaluation. There are two annotation types to evaluate FDDB dataset: bounding box level and eclipse level.

### 1837 6.3. Pedestrian detection benchmarks

In this section we will first introduce five widely used datasets
(Caltech, ETH, INRIA, CityPersons and KITTI) for pedestrian object
detection and then introduce their evaluation metrics.

1841 *CityPersons* [257] is a new and challenging pedestrian de-1842 tection dataset on top of the semantic segmentation dataset 1843 CityScapes [258], of which 5000 images are captured in several 1844 cities in Germany. A total of 35,000 persons with an additional 13,000 ignored regions, both bounding box annotation of all persons and annotation of visible parts are provided.

*Caltech* [259] is a popular and challenging datasets for pedestrian detection, which comes from approximately 10 h 30 Hz VGA 1848 video recorded by a car traversing the streets in the greater Los Angeles metropolitan area. The training and testing sets contains 1850 42,782 and 4024 frames, respectively. 1851

*ETH* [260] contains 1804 frames in three video clips and commonly it's used as test set to evaluate performance of the models 1853 trained on the large scale datasets (CityPersons dataset etc.). 1854

*INRIA* [19] contains images of high resolution pedestrians collected mostly from holiday photos, which consists of 2120 images, 1856 including 1832 images for training and 288 images. Specifically, 1857 there are 614 positive images and 1218 negative images in the training set. 1859

KITTI[261]contains7481labeledimagesofresolution1860 $1250 \times 375$  and another7518images for testing. The person class1861in KITTI is divided into two subclasses: pedestrian and cyclist, both1862evaluated by mAP method. KITTI contains three evaluation metrics:1863easy, moderate and hard, with difference in the min. bounding box1864height, max. occlusion level, etc.1865

*Evaluation Metrics.* For CityPersons, INRIA and ETH, the logaverage miss rate (MR) over 9 points ranging from  $1e^{-2}$  to  $1e^{0}$  FPPI 1867 (False Positive Per Image) is used to evaluate the performance of the detectors (lower is better). For KITTI, standard mean average precision is used as evaluation metric with 0.5 IoU threshold. 1870

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### Table 2

Detection results on PASCAL VOC dataset. For VOC2007, the models are trained on VOC2007 and VOC2012 trainval sets and tested on VOC2007 test set. For VOC2012, the models are trained on VOC2007 and VOC2012 trainval sets plus VOC2007 test set and tested on VOC2012 test set by default. Since Pascal VOC datasets are well tuned and thus the number of detection frameworks for VOC reduces in recent years.

Method	Backbone	Proposed Year	Input size(Test)	mAP (%)	
				VOC2007	VOC2012
Two-stage Detectors:					
R-CNN [2]	VGG-16	2014	Arbitrary	66.0 <sup>a</sup>	62.4 <sup>b</sup>
SPP-net [2]	VGG-16	2014	$\sim 600 \times 1000$	63.1ª	-
Fast R-CNN [38]	VGG-16	2015	$\sim 600 \times 1000$	70.0	68.4
Faster R-CNN [34]	VGG-16	2015	$\sim 600 \times 1000$	73.2	70.4
MR-CNN [131]	VGG-16	2015	Multi-Scale	78.2	73.9
Faster R-CNN [1]	ResNet-101	2016	$\sim 600 \times 1000$	76.4	73.8
R-FCN [52]	ResNet-101	2016	$\sim 600 \times 1000$	80.5	77.6
OHEM [148]	VGG-16	2016	$\sim\!600\times1000$	74.6	71.9
HyperNet [50]	VGG-16	2016	$\sim 600 \times 1000$	76.3	71.4
ION [51]	VGG-16	2016	$\sim$ 600 $ imes$ 1000	79.2	76.4
CRAFT [153]	VGG-16	2016	$\sim$ 600 $\times$ 1000	75.7	71.3 <sup>b</sup>
LocNet [149]	VGG-16	2016	$\sim$ 600 $\times$ 1000	78.4	74.8 <sup>b</sup>
R-FCN w DCN [97]	ResNet-101	2017	$\sim 600 \times 1000$	82.6	-
CoupleNet [125]	ResNet-101	2017	$\sim$ 600 $\times$ 1000	82.7	80.4
DeNet512(wide) [94]	ResNet-101	2017	$\sim$ 512 $\times$ 512	77.1	73.9
FPN-Reconfig [115]	ResNet-101	2018	$\sim$ 600 $ imes$ 1000	82.4	81.1
DeepRegionLet [140]	ResNet-101	2018	$\sim$ 600 $ imes$ 1000	83.3	81.3
DCN+R-CNN [132]	ResNet-101+ResNet-152	2018	Arbitrary	84.0	81.2
One-stage Detectors:					
YOLOv1 [40]	VGG16	2016	$448 \times 448$	66.4	57.9
SSD512 [42]	VGG-16	2016	512 × 512	79.8	78.5
YOLOv2 [41]	Darknet	2017	$544 \times 544$	78.6	73.5
DSSD513 [112]	ResNet-101	2017	513 × 513	81.5	80.0
DSOD300 [107]	DS/64-192-48-1	2017	$300 \times 300$	77.7	76.3
RON384 [120]	VGG-16	2017	$384 \times 384$	75.4	73.0
STDN513 [111]	DenseNet-169	2018	513 × 513	80.9	-
RefineDet512 [92]	VGG-16	2018	$512 \times 512$	81.8	80.1
RFBNet512 [108]	VGG16	2018	$512 \times 512$	82.2	-
CenterNet [64]	ResNet101	2019	$512 \times 512$	78.7	-
CenterNet [64]	DLA [64]	2019	$512\times512$	80.7	-

<sup>a</sup> This entry reports the model is trained with VOC2007 trainval sets only.

<sup>b</sup> This entry reports the model are trained with VOC2012 trainval sets only.

### 1871 **7. State-of-the-art for object detection**

1872 Generic object detection: Pascal VOC2007, VOC2007 and MSCOCO 1873 are three most commonly used datasets for evaluating detection algorithms. Pascal VOC2012 and VOC2007 are mid scale datasets 1874 1875 with 2 or 3 objects per image and the range of object size in VOC dataset is not large. For MSCOCO, there are nearly 10 objects per 1876 image and the majority objects are small objects with large scale 1877 ranges, which leads to a very challenge task for detection algo-1878 rithms. In Tables 2 and 3 we give the benchmarks of VOC2007, 1879 VOC2012 and MSCOCO over the recent few years. 1880

*Face detection:* WIDER FACE is currently the most commonly
used benchmark for evaluating face detection algorithms. High
variance of face scales and large number of faces per image make
WIDER FACE the hardest benchmark for face detection, with three
evaluation metrics: easy, medium and hard. In Table 4 we give the
benchmarks of WIDER FACE over the recent few years.

1887 Pedestrian detection: CityPersons is a new but challenging 1888 benchmark for pedestrian detection. The dataset is split into dif-1889 ferent subsets according to the height and visibility level of the 1890 objects, and thus it's able to evaluate the detectors in a more com-1891 prehensive manner. The results are listed in Tab. 5, where MR is 1892 used for evaluation (lower is better).

### 1893 8. Related surveys

There are some other surveys which is parallel to our work [265–269]. Sultana et al. [267] review the existing deep learning based detectors and their training settings. Agarwal et al. [268] review the connection between deep learning and detection algorithms proposed in recent years and explore the poten-1898 tial leads by introducing some relevant topics such as few-shot de-1899 tection and life-long detection. Zhao et al. [269] review the existing 1900 deep learning based detectors and also provide the benchmarks of 1901 generic detection and real applications. Jiao et al. [266] cover a se-1902 ries of general detection algorithms and introduce the state-of-the-1903 art methods to explore novel solutions and directions to develop the new detectors. 1905

Compared with these surveys, our work not only reviews the 1906 existing representative detectors, but also makes comprehensive 1907 analysis on general components and learning strategy of different 1908 detectors. We aim to fully explore the factors which impact de- 1909 tection tasks, which are not covered in most existing surveys. Liu 1910 et al. [265] also give a comprehensive understanding of generic 1911 object detection as well as the analysis of detector components 1912 and learning strategies. However, their work only focus on generic 1913 detection but ignore the importance of detection in real-world 1914 applications. In our survey, we also give a comprehensive un- 1915 derstanding of the limitations and strategies to adapt generic 1916 detection algorithms into real-world applications. Furthermore, we 1917 organize the state-of-the-art algorithms for both generic detection 1918 and real-world applications to facilitate the future research. Finally, 1919 based on the tendency of the latest work proposed within the past 1920 one year, we discuss the future direction of object detection. 1921

### 9. Concluding remarks and future directions

1922

Object detection has been actively investigated and new state-1923 of-the-art results have been reported almost every few months. 1924

### Table 3

Detection performance on the MS COCO test-dev data set. "++" denotes applying inference strategy such as multi scale test, horizontal flip, etc.

Method	Backbone	Year	AP	AP <sub>50</sub>	AP <sub>75</sub>	APs	$AP_M$	$AP_L$
Two-Stage Detectors:								
Fast R-CNN [38]	VGG-16	2015	19.7	35.9	-	-	-	-
Faster R-CNN [34]	VGG-16	2015	21.9	42.7	-	-	-	-
OHEM [148]	VGG-16	2016	22.6	42.5	22.2	5.0	23.7	37.9
ION [51]	VGG-16	2016	23.6	43.2	23.6	6.4	24.1	38.3
OHEM++ [148]	VGG-16	2016	25.5	45.9	26.1	7.4	27.7	40.3
R-FCN [52]	ResNet-101	2016	29.9	51.9	_	10.8	32.8	45.0
Faster R-CNN+++ [1]	ResNet-101	2016	34.9	55.7	37.4	15.6	38.7	50.9
Faster R-CNN w FPN [39]	ResNet-101	2016	36.2	59.1	39.0	18.2	39.0	48.2
DeNet-101(wide) [94]	ResNet-101	2017	33.8	53.4	36.1	12.3	36.1	50.8
CoupleNet [125]	ResNet-101	2017	34.4	54.8	37.2	13.4	38.1	50.8
Faster R-CNN by G-RMI [167]	Inception-ResNet-v2	2017	34.7	55.5	36.7	13.5	38.1	52.0
Deformable R-FCN [52]	Aligned-Inception-ResNet	2017	37.5	58.0	40.8	19.4	40.1	52.5
Mask-RCNN [3]	ResNeXt-101	2017	39.8	62.3	43.4	22.1	43.2	51.2
umd_det [236]	ResNet-101	2017	40.8	62.4	44.9	23.0	43.4	53.2
Fitness-NMS [152]	ResNet-101	2017	41.8	60.9	44.9	21.5	45.0	57.5
DCN w Relation Net [138]	ResNet-101	2018	39.0	58.6	42.9	-	-	-
DeepRegionlets [140]	ResNet-101	2018	39.3	59.8	-	21.7	43.7	50.9
C-Mask RCNN [141]	ResNet-101	2018	42.0	62.9	46.4	23.4	44.7	53.8
Group Norm [237]	ResNet-101	2018	42.3	62.8	46.2	_	_	-
DCN+R-CNN [132]	ResNet-101+ResNet-152	2018	42.6	65.3	46.5	26.4	46.1	56.4
Cascade R-CNN [49]	ResNet-101	2018	42.8	62.1	46.3	23.7	45.5	55.2
SNIP++ [98]	DPN-98	2018	45.7	67.3	51.1	29.3	48.8	57.1
SNIPER++ [146]	ResNet-101	2018	46.1	67.0	51.6	29.6	48.9	58.1
PANet++ [238]	ResNeXt-101	2018	47.4	67.2	51.8	30.1	51.7	60.0
Grid R-CNN [151]	ResNeXt-101	2019	43.2	63.0	46.6	25.1	46.5	55.2
DCN-v2 [144]	ResNet-101	2019	44.8	66.3	48.8	24.4	48.1	59.6
DCN-v2++ [144]	ResNet-101	2019	46.0	67.9	50.8	27.8	49.1	59.5
TridentNet [239]	ResNet-101	2019	42.7	63.6	46.5	23.9	46.6	56.6
TridentNet [239]	ResNet-101-Deformable	2019	48.4	69.7	53.5	31.8	51.3	60.3
Single-Stage Detectors:								
SSD512 [42]	VGG-16	2016	28.8	48.5	30.3	10.9	31.8	43.5
RON384++ [120]	VGG-16	2017	27.4	49.5	27.1	_	_	_
YOLOv2 [41]	DarkNet-19	2017	21.6	44.0	19.2	5.0	22.4	35.5
SSD513 [112]	ResNet-101	2017	31.2	50.4	33.3	10.2	34.5	49.8
DSSD513 [112]	ResNet-101	2017	33.2	53.3	35.2	13.0	35.4	51.1
RetinaNet800++ [43]	ResNet-101	2017	39.1	59.1	42.3	21.8	42.7	50.2
STDN513 [111]	DenseNet-169	2018	31.8	51.0	33.6	14.4	36.1	43.4
FPN-Reconfig [115]	ResNet-101	2018	34.6	54.3	37.3	_	_	_
RefineDet512 [92]	ResNet-101	2018	36.4	57.5	39.5	16.6	39.9	51.4
RefineDet512++ [92]	ResNet-101	2018	41.8	62.9	45.7	25.6	45.1	54.1
GHM SSD [147]	ResNeXt-101	2018	41.6	62.8	44.2	22.3	45.1	55.3
CornerNet511 [63]	Hourglass-104	2018	40.5	56.5	43.1	19.4	42.7	53.9
CornerNet511++ [63]	Hourglass-104	2018	42.1	57.8	45.3	20.8	44.8	56.7
M2Det800 [116]	VGG-16	2010	41.0	59.7	45.0	20.0	46.5	53.8
M2Det800++ [116]	VGG-16	2019	44.2	64.6	49.3	29.2	47.9	55.1
ExtremeNet [240]	Hourglass-104	2019	40.2	55.5	43.2	20.4	43.2	53.1
CenterNet-HG [64]	Hourglass-104	2019	42.1	61.1	45.9	24.1	45.5	52.8
FCOS [241]	ResNeXt-101	2019	42.1	62.1	45.2	25.6	44.9	52.0
FSAF [95]	ResNeXt-101	2019	42.9	63.8	46.3	26.6	46.2	52.7
CenterNet511 [65]	Hourglass-104	2019	44.9	62.4	48.1	25.6	47.4	57.4
CenterNet511++ [65]	Hourglass-104	2019	<b>47.0</b>	64.5	<b>50.7</b>	28.9	49.9	58.9

1925 However, there are still many open challenges. Below we discuss 1926 several open challenges and future directions.

(i) Scalable proposal generation strategy. As claimed in 1927 1928 Section 3.4, currently most detectors are anchor-based methods, and there are some critical shortcomings which limit the 1929 1930 detection accuracy. Current anchor priors are mainly manually designed which is difficult to match multi-scale objects and the 1931 matching strategy based on IoU is also heuristic. Although some 1932 methods have been proposed to transform anchor-based methods 1933 into anchor-free methods (e.g. methods based on keypoints), there 1934 1935 are still some limitations (high computation cost etc.) with large 1936 space to improve. From Fig. 2, developing anchor-free methods 1937 becomes a very hot topic in object detection [63,65,95,240,241], 1938 and thus designing an efficient and effective proposal generation 1939 strategy is potentially a very important research direction in the 1940 future.

(ii) Effective encoding of contextual information. Contexts can 1941
 contribute or impede visual object detection results, as objects in 1942
 the visual world have strong relationships, and contexts are crit-1943
 ical to better understand the visual worlds. However, little effort 1944
 has been focused on how to correctly use contextual information. 1945
 How to incorporate contexts for object detection effectively can be 1946
 a promising future direction. 1947

(*iii*) Detection based on Auto Machine Learning (AutoML). To de-1948 sign an optimal backbone architecture for a certain task can sig-1949 nificantly improve the results but also requires huge engineer-1950 ing effort. Thus to learn backbone architecture directly on the 1951 datasets is a very interesting and important research direction. 1952 From Fig. 2, inspired by the pioneering AutoML work on image 1953 classification [270,271], more relevant work has been proposed to 1954 address detection problems via AutoML [272,273], such as learning 1955 FPN structure [273] and learning data augmentation policies [274], 1956

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Table 4

Detection results on WIDER FACE dataset. The models are trained on WIDER FACE training sets and tested on WIDER FACE test set.

Method	Year	mAP (	mAP (%)		
		Easy	Medium	Hard	
ACF-WIDER[242]	2014	69.5	58.8	29.0	
Faceness [243]	2015	71.6	60.4	31.5	
Two-stage CNN [200]	2016	65.7	58.9	30.4	
LDCF+ [244]	2016	79.7	77.2	56.4	
CMS-CNN [195]	2016	90.2	87.4	64.3	
MSCNN [106]	2016	91.7	90.3	80.9	
ScaleFace [245]	2017	86.7	86.6	76.4	
HR [99]	2017	92.3	91.0	81.9	
SHH [189]	2017	92.7	91.5	84.4	
Face R-CNN [191]	2017	93.2	91.6	82.7	
S3FD [87]	2017	93.5	92.1	85.8	
Face R-FCN [198]	2017	94.3	93.1	87.6	
FAN [246]	2017	94.6	93.6	88.5	
FANet [188]	2017	94.7	93.9	88.7	
FDNet [247]	2018	95.0	93.9	87.8	
PyramidBox [185]	2018	95.6	94.6	88.7	
SRN [186]	2018	95.9	94.8	89.6	
DSFD [187]	2018	96.0	95.3	90.0	
DFS [248]	2018	96.3	95.4	90.7	
SFDet [249]	2019	94.8	94.0	88.3	
CSP [250]	2019	94.9	94.4	89.9	
PyramidBox++ [251]	2019	95.6	95.2	90.9	
VIM-FD [252]	2019	96.2	95.3	90.2	
ISRN [253]	2019	96.3	95.4	90.3	
RetinaFace [254]	2019	96.3	95.6	91.4	
AlnnoFace [255]	2019	96.5	95.7	91.2	
RefineFace [256]	2019	96.6	95.8	91.4	

### Table 5

Detection results on CityPersons dataset. The models are trained on CityPersons training sets and tested on CityPersons test set. There are four evaluation metrics: Reasonable (R.), Small (S.), Heavy (H.) and All (A.), which are related to the height and visibility level of the objects.

5					
Method	Year	R.	S.	Н.	Α.
FRCNN [38]	2015	12.97	37.24	50.47	43.86
MS-CNN [106]	2016	13.32	15.86	51.88	39.94
RepLoss [213]	2017	11.48	15.67	52.59	39.17
Ada-FRCN [257]	2017	12.97	37.24	50.47	43.86
OR-CNN [214]	2018	11.32	14.19	51.43	40.19
HBAN [262]	2019	11.26	15.68	39.54	38.77
MGAN [263]	2019	9.29	11.38	40.97	38.86
APD [264]	2019	8.27	11.03	35.45	35.65

which show significant improvement over the baselines. However, the required computation resource for AutoML is unaffordable to most researchers (more than 100 GPU cards to train a single model). Thus, developing a low-computation framework shall have a large impact for object detection. Further, new structure policies (such as proposal generation and region encoding) of detection task can be explored in the future.

(iv) Emerging benchmarks for object detection. Currently MSCOCO 1964 is the most commonly used detection benchmark testbed. How-1965 ever, MSCOCO has only 80 categories, which is still too small to 1966 1967 understand more complicated scenes in real world. Recently, a new benchmark dataset LVIS [235] has been proposed in order to col-1968 1969 lect richer categorical information. LVIS contains 164,000 images with 1000+ categories, and there are total of 2.2 million high-1970 quality instance segmentation masks. Further, LVIS simulates the 1971 real-world low-shot scenario where a large number of categories 1972 are present but per-category data is sometimes scarce. LVIS will 1973 open a new benchmark for more challenging detection, segmenta-1974 1975 tion and low-shot learning tasks in near future.

(v) Low-shot object detection. Training detectors with limited la- 1976 beled data is dubbed as Low-shot detection. Deep learning based 1977 detectors often have huge amount of parameters and thus are 1978 data-hungry, which require large amount of labeled data to achieve 1979 satisfactory performance. However, labeling objects in images with 1980 bounding box level annotation is very time-consuming. Low-shot 1981 learning has been actively studied for classification tasks, but only 1982 a few studies are focused on detection tasks. For example, Multi- 1983 modal Self-Paced Learning for Detection (MSPLD) [275] addresses 1984 the low-shot detection problem in a semi-supervised learning 1985 setting where a large-scale unlabeled dataset is available. Rep- 1986 Met [276] adopts a Deep Metric Learning (DML) structure, which 1987 jointly learns feature embedding space and data distribution of 1988 training set categories. However, RepMet was only tested on 1989 datasets with similar concepts (animals). Low-Shot Transfer Detec-1990 tor (LSTD) [277] addresses low-shot detection based on transfer 1991 learning which transfers the knowledge form large annotated ex-1992 ternal datasets to the target set by knowledge regularization. LSTD 1993 still suffers from overfitting. There is still a large room to improve 1994 the low-shot detection tasks. 1995

(vi) Backbone architecture for detection task. It has become a 1996 common practice to adopt weights of classification models pre-1997 trained on a large scale dataset for detection. However, there still 1998 exists conflicts between classification and detection tasks [78], and 1999 thus directly adopting a pretrained network may not result in the 2000 optimal solution. From Table 3, most state-of-the-art detection al-2001 gorithms are based on classification backbones, and only a few of 2002 them try different selections (such as CornerNet based on Hour-2003 glass Net). Thus, developing a detection-aware backbone architec-2004 ture is also an important research direction for the future.

(vii) Other research issues. In addition, there are some other 2006 open research issues, such as large batch learning [278] and incre-2007 mental learning [279]. Batch size is a key factor in DCNN training 2008 but has not been well studied for detection. For incremental learn-2009 ing, detection algorithms still suffer from catastrophic forgetting if 2010 adapted to a new task without initial training data. These open and 2011 fundamental research issues also deserve more attention for future 2012 work.

In this survey, we give a comprehensive survey of recent advances in deep learning techniques for object detection tasks. The main contents of this survey are divided into three major categories: object detector components, machine learning strategies, 2017 real-world applications and benchmark evaluations. We have reviewed a large body of representative articles in recent literature, 2019 and presented the contributions on this important topic in a structured and systematic manner. We hope this survey can give readers a comprehensive understanding of object detection with deep learning and potentially spur more research work on object detection techniques and their applications. 2024

### Declaration of Competing Interest

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The authors declare that they have no known competing finan-2026 cial interests or personal relationships that could have appeared to 2027 influence the work reported in this paper. 2028

### CRediT authorship contribution statement

Xiongwei Wu: Conceptualization, Methodology, Software, In- 2030 vestigation, Writing - original draft, Writing - review & editing. 2031 Doyen Sahoo: Validation, Investigation, Writing - review & editing. 2032 Steven C.H. Hoi: Supervision. 2033

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